CS769 Advanced NLP

Machine Translation

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Slides adapted from Austin https://junjiehu.github.io/cs769-spring23/

Goal for Today

- Background & Parallel Corpus
- Noisy Channel MT (SMT, non-parametric models)
 - Lexical Translation
 - Word Alignment
- Neural Machine Translation (parametric models)
 - Architecture: LSTM, CNN, Transformer
 - Multilingual NMT
- Hybrid MT (non-parametric + parametric MT)
 - Interpolation / Retrieval MT
 - Prompt MT
- Open Research Problems on NMT

One naturally wonders if the problem of translation could conceivably be treated as a problem in cryptography. When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.'

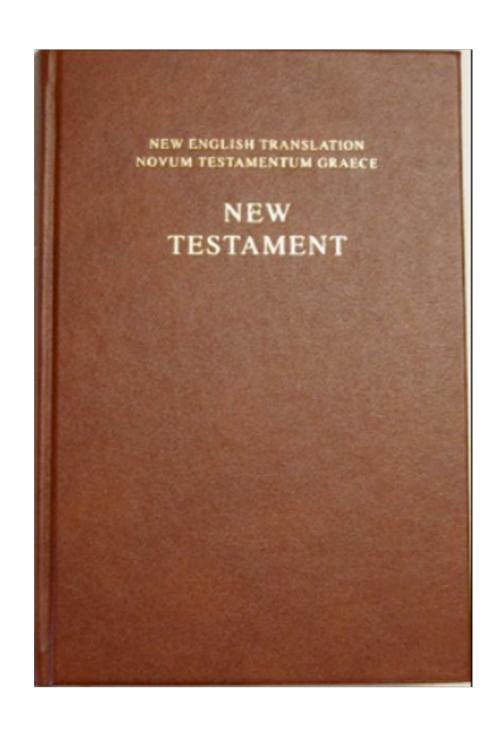


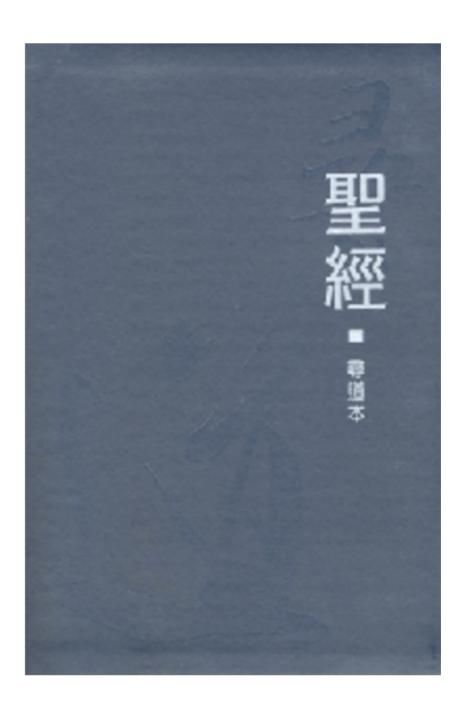
- We are given a corpus of sentence pairs in two languages to train our machine translation models.
- Source language is also called foreign language, denoted as f.
- Conventionally (in earlier studies before NMT) target language is usually referred to English, denoted as e.

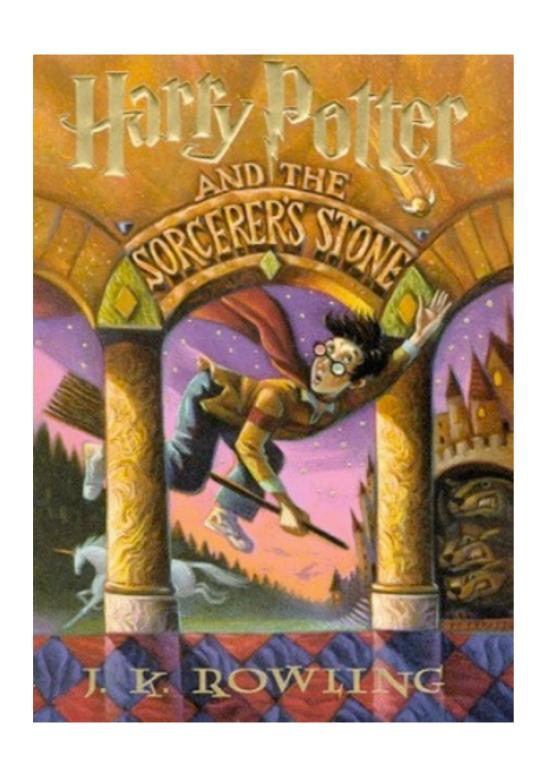
				CLASSIC SOUPS Sm.	Lg.
清	燉 雞	8	57.	House Chicken Soup (Chicken, Celery,	
				Potato, Onion, Carrot)	2.75
雞	飯	25	58.	Chicken Rice Soup	3.25
雞	麵	*	59.	Chicken Noodle Soup	3.25
鹰	東雲	吞	60.	Cantonese Wonton Soup	2.75
番	茄季	-	61.	Tomato Clear Egg Drop Soup	2.95
雲	呑	書	62.	Regular Wonton Soup	2.10
験	辣	*	63. ₹	Hot & Sour Soup	2.10
委	Æ		64.	Egg Drop Soup	2.10
季	₹	**	65.	Egg Drop Wonton Mix1.10	2.10
豆	腐 菜	*	66.	Tofu Vegetable SoupNA	3.50
雞	玉米	湯	67.	Chicken Corn Cream SoupNA	3.50
A-3	肉玉米	湯	68.	Crab Meat Corn Cream SoupNA	3.50
海	集羊	*	69.	Seafood SoupNA	3.50

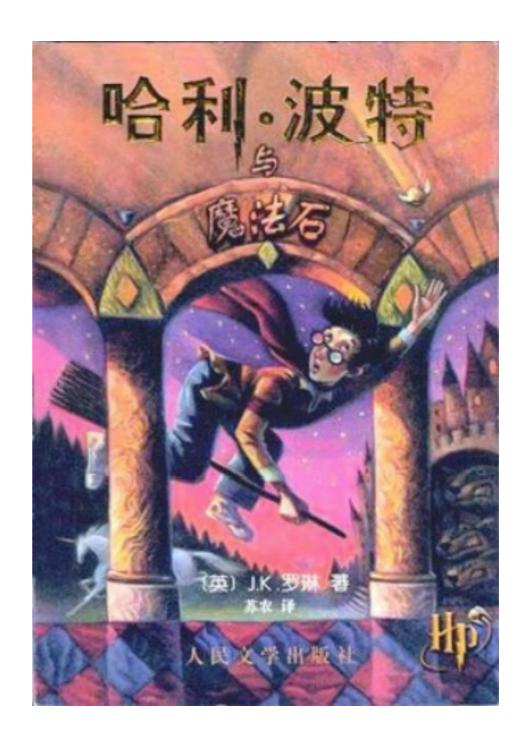






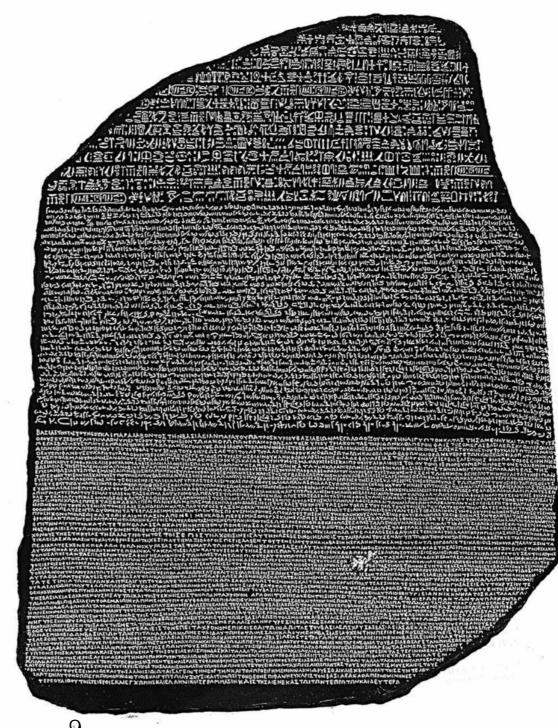






Egyptian

Greek



WMT

- Annual conference for Machine Translation (2006-now)
- Many shared tasks:
 - Translation tasks: News, Biomedical articles,
 Translate similar languages, low-resource MT, large-scale multilingual MT, triangular MT, efficiency,
 terminology, unsupervised MT, lifelong learning
 - Evaluation tasks: quality estimation, metrics
 - Other tasks: automatic post-editing

OPUS Parallel Corpus

- OPUS (Tiedemann 2012) is a growing collection of translated texts from the web.
- Preprocessed parallel texts in tmx, moses format



Search & download resources: de (German)

| en (English) | all | show all versions |
| Language resources: click on [tmx | moses | xces | lang-id] to download the data! (raw = untokenized, ud = parsed with universal dependencies, alg = word alignments and phrase tables)

doc's	sent's	de tokens	en tokens	XCES/XML	raw	TMX	Moses	mono	raw	ud	alg	dic	freq			other files
1	247.5M	3.8G	3.9G	xces de en	de en	tmx	moses	de en	de en				de en		sample	
1	6.2M	443.1M	1.0G	xces de en	de en	tmx	moses	de en	de en				de en		sample	
364	36.3M	450.7M	478.7M	xces de en	de en	tmx	moses	de en	de en				de en			
15373	9.6M	337.4M	380.2M	xces de en	de en	tmx	moses	de en	de en		alg	dic	de en	query	sample	moses/strict
1	12.6M	318.2M	387.8M	xces de en	de en	tmx	moses	de en	de en				de en		sample	
1	0.1M	11.0M	349.2M	xces de en	de en	tmx	moses	de en	de en				de en		sample	
1852	15.3M	150.8M	159.5M	xces de en	de en	tmx	moses	de en	de en				de en		sample	
7	4.3M	108.8M	131.4M	xces de en	de en	tmx	moses	de en	de en		alg smt	dic	de en		sample	
38675	3.6M	66.0M	73.3M	xces de en	de en	tmx	moses	de en	de en		alg smt	dic	de en		sample	
	1 364 15373 1 1 1852 7	1 247.5M 1 6.2M 364 36.3M 15373 9.6M 1 12.6M 1 0.1M 1852 15.3M 7 4.3M	1 247.5M 3.8G 1 6.2M 443.1M 364 36.3M 450.7M 15373 9.6M 337.4M 1 12.6M 318.2M 1 0.1M 11.0M 1852 15.3M 150.8M 7 4.3M 108.8M	1 247.5M 3.8G 3.9G 1 6.2M 443.1M 1.0G 364 36.3M 450.7M 478.7M 15373 9.6M 337.4M 380.2M 1 12.6M 318.2M 387.8M 1 0.1M 11.0M 349.2M 1852 15.3M 150.8M 159.5M 7 4.3M 108.8M 131.4M	1 247.5M 3.8G 3.9G xces de en 1 6.2M 443.1M 1.0G xces de en 364 36.3M 450.7M 478.7M xces de en 15373 9.6M 337.4M 380.2M xces de en 1 12.6M 318.2M 387.8M xces de en 1 0.1M 11.0M 349.2M xces de en 1852 15.3M 150.8M 159.5M xces de en 7 4.3M 108.8M 131.4M xces de en	1 247.5M 3.8G 3.9G xces de en de en 1 6.2M 443.1M 1.0G xces de en de en 364 36.3M 450.7M 478.7M xces de en de en 15373 9.6M 337.4M 380.2M xces de en de en 1 12.6M 318.2M 387.8M xces de en de en 1 0.1M 11.0M 349.2M xces de en de en 1852 15.3M 150.8M 159.5M xces de en de en 7 4.3M 108.8M 131.4M xces de en de en	1 247.5M 3.8G 3.9G xces de en de en tmx 1 6.2M 443.1M 1.0G xces de en de en tmx 364 36.3M 450.7M 478.7M xces de en de en tmx 15373 9.6M 337.4M 380.2M xces de en de en tmx 1 12.6M 318.2M 387.8M xces de en de en tmx 1 0.1M 11.0M 349.2M xces de en de en tmx 1852 15.3M 150.8M 159.5M xces de en de en tmx 7 4.3M 108.8M 131.4M xces de en de en tmx	1 247.5M 3.8G 3.9G xces de en de en tmx moses 1 6.2M 443.1M 1.0G xces de en de en tmx moses 364 36.3M 450.7M 478.7M xces de en de en tmx moses 15373 9.6M 337.4M 380.2M xces de en de en tmx moses 1 12.6M 318.2M 387.8M xces de en de en tmx moses 1 0.1M 11.0M 349.2M xces de en de en tmx moses 1852 15.3M 150.8M 159.5M xces de en de en tmx moses 7 4.3M 108.8M 131.4M xces de en de en tmx moses	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en 1 6.2M 443.1M 1.0G xces de en de en tmx moses de en 364 36.3M 450.7M 478.7M xces de en de en tmx moses de en 15373 9.6M 337.4M 380.2M xces de en de en tmx moses de en 1 12.6M 318.2M 387.8M xces de en de en tmx moses de en 1 0.1M 11.0M 349.2M xces de en de en tmx moses de en 1852 15.3M 150.8M 159.5M xces de en de en tmx moses de en 7 4.3M 108.8M 131.4M xces de en de en tmx moses de en	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en d	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en de en tmx moses de en de en de en de en de en tmx moses de en de en de en tmx moses de en de en tmx	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en de en tmx moses de en de en de en tmx moses de en de en de en tmx moses de en tmx mx moses de en tmx mx m	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en de en tmx moses de en de	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en d	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en d	1 247.5M 3.8G 3.9G xces de en de en tmx moses de en sample 1 6.2M 443.1M 1.0G xces de en de en tmx moses de en de en de en de en sample 364 36.3M 450.7M 478.7M xces de en de en tmx moses de en sample 1 0.1M 11.0M 349.2M xces de en de en tmx moses de en de en de en de en sample 1 153.3M 150.8M 159.5M xces de en de en tmx moses de en de en de en sample 1 4.3M 108.8M 131.4M xces de en de en tmx moses de en de en de en sample

https://opus.nlpl.eu/

Noisy Channel MT (Statistic Machine Translation)

f-to-e Translation

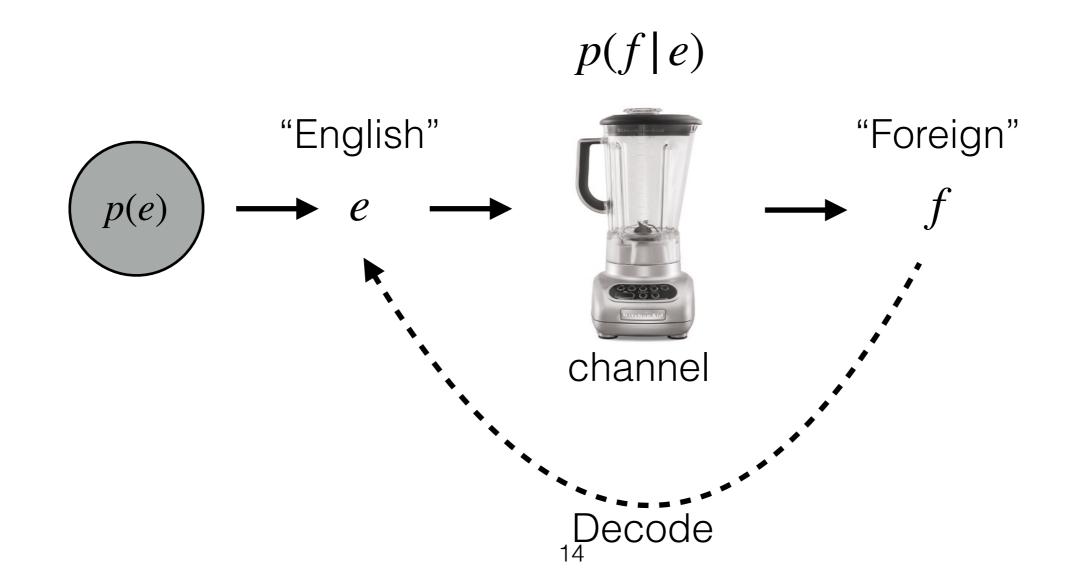
• We want a model of p(e|f)

Possible English sentence Confusing foreign sentence



Noisy Channel MT

- Speaker: Have an English sentence in mind, encrypt it through a noisy channel, and speak the sentence in a foreign language
- Listener: Decode what they hear to the original English sentence.



Noisy Channel MT

(Forward) Translation Model

$$\hat{e} = \arg \max_{e} p(e|f)$$

$$= \arg \max_{e} \frac{p(e) \times p(f|e)}{p(f)}$$

$$= \arg \max_{e} p(e) \times p(f|e)$$

$$= \arg \max_{e} p(e) \times p(f|e)$$

Language Model (Backward) Translation Model i.e., Noisy Channel

What's the benefit of the Noisy Channel decomposition in stead of modeling the forward translation directly?

Noisy Channel Division of Labor

- Language model p(e)
 - Is the translation fluent, grammatical, and idiomatic?
 - Use any LMs trained on large datasets
- Translation model p(f|e)
 - (Backward) translation probability
 - Ensures adequacy of translation

Training Noisy Channel MT

- Training LMs is simple (refer to the LM lecture)
- Estimating p(f|e) is a bit harder
 - f = ie voudrais un peu de frommage <math>p(f|e)
 - $e_1 = 1$ would like some cheese 0.4
 - e_2 = I would like a little of cheese 0.5
 - e_3 = There is no train to Barcelona >0.00001

Estimate Channel Translation Model

• How do we parameterize p(f|e)?

$$p(f|e) = \frac{\text{count}(f,e)}{\text{count}(e)}$$
?

- There are a lot of possible sentences
 - We can only count the sentences in our training data
 - This won't generalize to new inputs
- Can we break the sentence probability into lexical (word-level) translation probability?

Lexical Translation

- How do we translate a word? Look it up in a dictionary!
 - e.g., Haus (German): house, home, shell, household

Translation	Count	Maximum Likelihood Estimation (MLE)								
house	5000	$\begin{cases} 0.696 & \text{if } e = \text{house} \\ 0.270 & \text{if } e = \text{home} \end{cases}$								
home	2000	$\hat{p}_{ ext{MLE}}(e \mid ext{Haus}) = egin{cases} 0.696 & ext{if } e = ext{home} \ 0.279 & ext{if } e = ext{home} \ 0.014 & ext{if } e = ext{shell} \ 0.011 & ext{if } e = ext{household} \ 0 & ext{otherwise} \end{cases}$								
shell	100	$\begin{bmatrix} 0.011 & ext{if } e = ext{household} \ 0 & ext{otherwise} \end{bmatrix}$								
household	80									

Lexical Translation

- Goal: a model $p(\mathbf{f} \mid \mathbf{e}, m)$, where $\mathbf{e} = \langle e_1, e_2, ..., e_l \rangle$ and $\mathbf{f} = \langle f_1, f_2, ..., f_m \rangle$, assuming that there is some distribution $p(m \mid l)$ that models \mathbf{f} 's length conditioned on \mathbf{e} 's length.
- Lexical translation makes the following assumptions:
 - 1. Each word f_i is generated from exactly one word in ${f e}$
 - 2. Thus, we have a latent alignment a_i that indicates which English word e_{a_i} generates f_i .
 - 3. Given the alignments ${\bf a}$, translation decisions are conditionally independent of each other and depend only on the aligned English word e_{a_i}

Lexical Translation

Putting our assumptions together, we have:

$$p(\mathbf{f} | \mathbf{e}, m) = \sum_{\mathbf{a} \in [0, l]^m} p(\mathbf{a} | \mathbf{e}, m) \times \prod_{i=1}^m p(f_i | e_{a_i})$$

p(Alignment) p(Translation|Alignment)

where \mathbf{a} is an m-dimensional latent vector with each element a_i in the range of [0,l]

Word Alignment

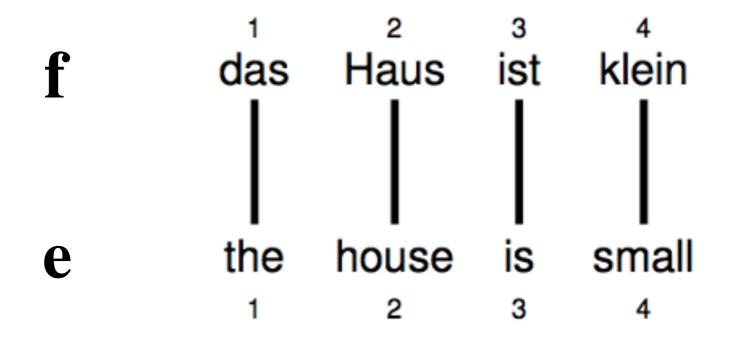
- Most of the research for the first 10 years of SMT was focusing on improving word alignment. Word translations weren't hard (with MLE), but predicting word order was hard.
- E.g. IBM Model 1, 2, 3, Giza++, FastAlign

$$p(\mathbf{a} \mid \mathbf{e}, m) = \prod_{i=1}^{m} p(a_i \mid i, l, m)$$

where $|\mathbf{e}| = l$, $|\mathbf{f}| = m$, f_i is aligned to e_{a_i} , $a_i \in [0,l]$

Word Alignment

 Alignments can be visualized by drawing links between two sentences, and they are represented as vectors of positions:

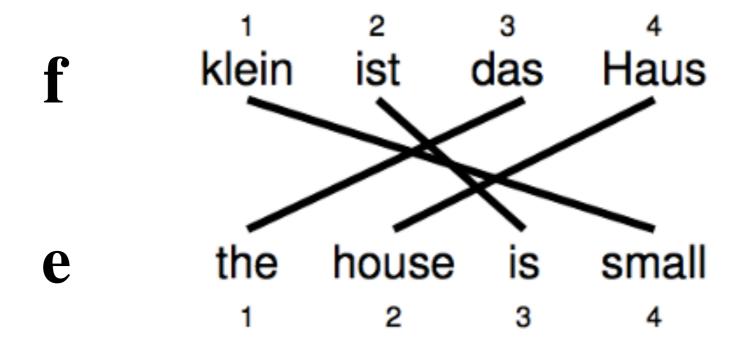


$$\mathbf{a} = (1,2,3,4)^{\mathsf{T}}$$

Reordering

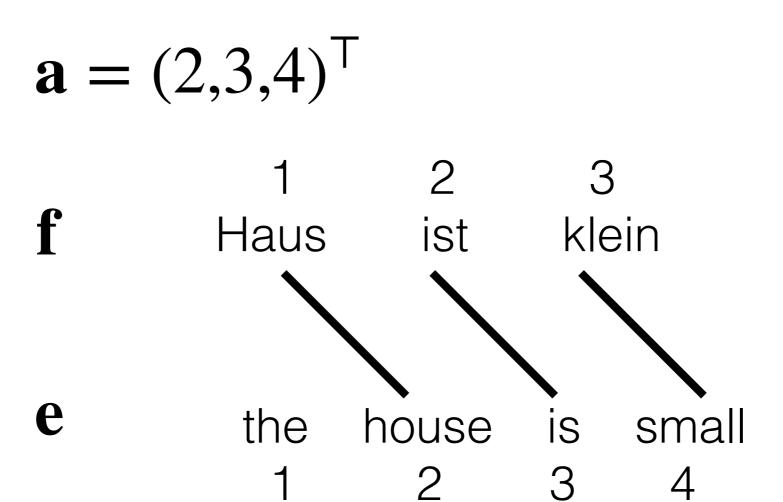
Words may be reordered during translation

$$\mathbf{a} = (4,3,1,2)^{\mathsf{T}}$$



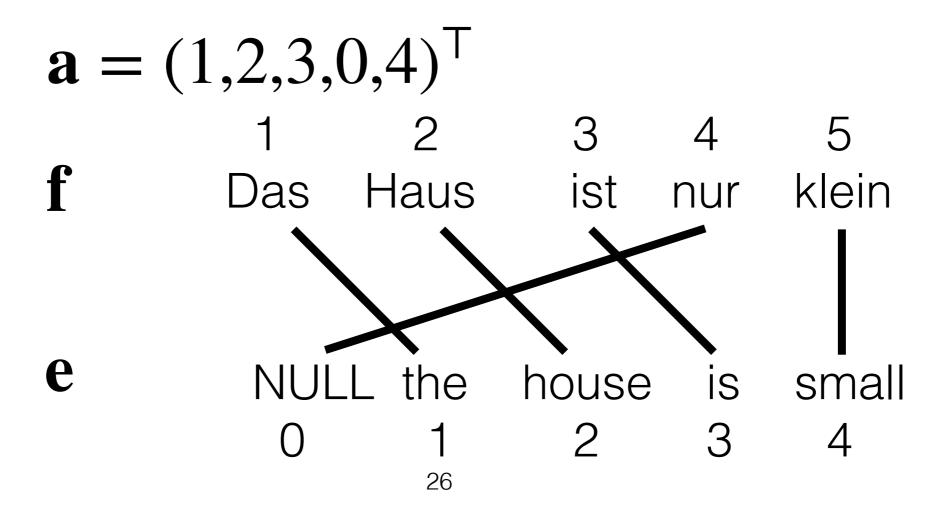
Word Dropping

A source word may not be translated at all



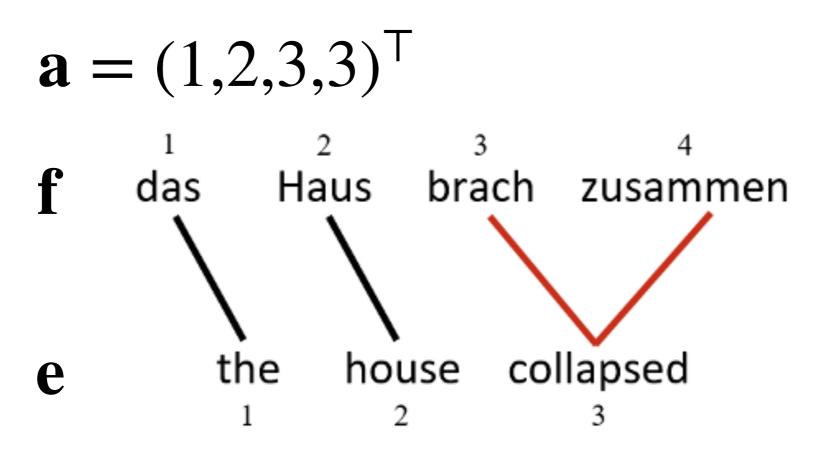
Word Insertion

- Words may be inserted during translation
- e.g., English just does not have an equivalent
- But these words must be explained—we typically assume every source sentence contains a NULL token



One-to-many Translation

 A source word may be translated into more than one target word



Many-to-one Translation

 More than one source word may not be translated as a unit in lexical translation

$$\mathbf{a} = ??? \qquad \mathbf{a} = (1,2,3,(4,5)^{\mathsf{T}})^{\mathsf{T}} ?$$

$$\mathbf{f} \qquad \text{das} \qquad \text{Haus} \qquad \text{ist} \qquad \text{klitzeklein}$$

$$\mathbf{e} \qquad \text{the house is very small}$$

$$\mathbf{a} = ??? \qquad \mathbf{a} = (1,2,3,(4,5)^{\mathsf{T}})^{\mathsf{T}} ?$$

This could be addressed by considering phrase-level alignment instead of word level.

Learn alignment & translation together

How do we learn from training corpus of (f, e) pairs?

$$p(\mathbf{f} | \mathbf{e}, m) = \sum_{\mathbf{a} \in [0, l]^m} p(\mathbf{a} | \mathbf{e}, m) \times \prod_{i=1}^m p(f_i | e_{a_i})$$
$$= \sum_{\mathbf{a} \in [0, l]^m} \prod_{i=1}^m p(a_i | i, l, m) \times p(f_i | e_{a_i})$$

p(Alignment) p(Translation|Alignment)

MLE of two probability with the latent alignment

$$p(a_i | i, l, m) = \frac{\operatorname{count}(a_i | i, l, m)}{\operatorname{count}(i, l, m)} \qquad p(f_i | e_{a_i}) = \frac{\operatorname{count}(f_i, e_{a_i})}{\operatorname{count}(e_{a_i})}$$

 $\operatorname{count}(a_i \mid i, l, m)$ is the no. time f_i is aligned to e_{a_i} in the training set. $\operatorname{count}(i, l, m)$ is the no. time we see a foreign sentence f of length m and an English sentence e of length l

Learn alignment & translation together

- How do we learn from training corpus of (f, e) pairs?
- "Chicken and egg" problem:
 - If we had the alignments, we could estimate the translation probabilities by MLE (i.e., counting)

$$p(f_i | e_{a_i}) = \frac{\text{count}(f_i, e_{a_i})}{\text{count}(e_{a_i})}$$

 If we had the probabilities, we could find the most likely alignments greedily by taking the word pairs with the largest probability

$$a_i = \arg\max_{j \in [0,l]} p(a_i | i, l, m)$$





Expectation-Maximization (EM) Algorithm

- Pick some random (or uniform) starting parameters (i.e., counts)
- Repeat until converged
 - 1. **E-Step**: use the current parameters to compute "expected" alignments
 - 2. Update the no. of times e_{a_i} is translated to f_i i.e., count(e_{a_i} , f_i), and keep track of no. of times e_{a_i} is used in the training corpus count(e_{a_i}).
 - 3. **M-Step:** use MLE to update translation probability $p(f_i | e_{a_i}) = \frac{\text{count}(f_i, e_{a_i})}{\text{count}(e_{a_i})}$

```
... la maison ... la maison blue ... la fleur ...

the house ... the blue house ... the flower ...
```

- Initial step: all alignments equally likely
- Model learns that, e.g., la is often aligned with the

```
... la maison ... la maison blue ... la fleur ...

the house ... the blue house ... the flower ...
```

- After one iteration
- Alignments, e.g., between la and the are more likely

```
... la maison ... la maison bleu ... la fleur ...

the house ... the blue house ... the flower ...
```

- After another iteration
- It becomes apparent that alignments, e.g., between fleur and flower are more likely (pigeon hole principle)

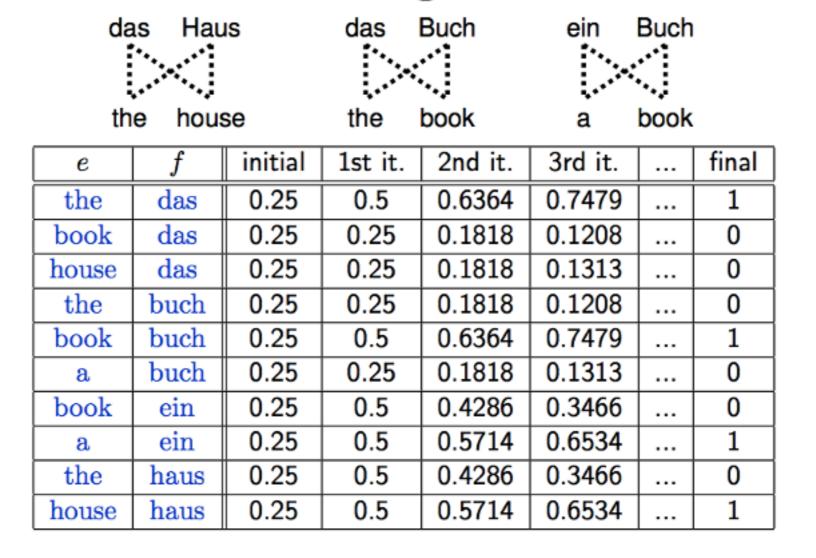
... la maison ... la maison bleu ... la fleur ...

the house ... the blue house ... the flower ...

p(la|the) = 0.453
p(le|the) = 0.334
p(maison|house) = 0.876
p(bleu|blue) = 0.563
...

Parameter estimation from the aligned corpus

Convergence



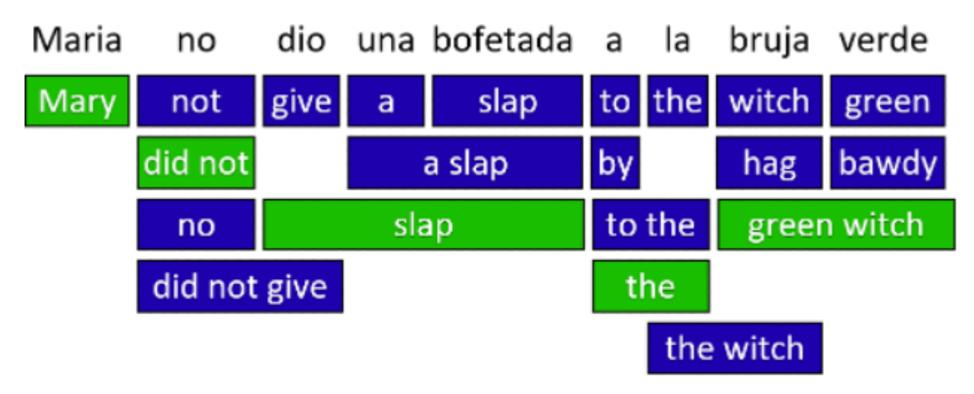
Whole Pipeline of SMT

- Moses (Koehn 2009)
 - 1 Prepare data
 - 2 Run GIZA
 - 3 Align words
 - 4 Lexical translation
 - 5 Extract phrases
 - 6 Score phrases
 - 7 Reordering model
 - 8 Generation model
 - 9 Configuration file

EM algorithms to align & translate words

Extensions: Lexical to Phrase Translation

- Phrase-based MT:
 - Allow multiple words to translate as chunks (including many-to-one)
 - Introduce another latent variable, the source segmentation



Adapted from Koehn (2006)

Neural Machine Translation

Neural Features for Translation

- Inspired by Neural n-gram LMs, use a conditional model to generate the next English word conditioned on
 - The previous n English words that have been generated
 - The aligned source word and its m neighbors

$$p(\mathbf{e} \mid \mathbf{f}, \mathbf{a}) = \prod_{i=1}^{|\mathbf{e}|} p(e_i \mid e_{i-2}, e_{i-1}, f_{a_i-1}, f_{a_i}, f_{a_i+1})$$

$$p(e_i \mid e_{i-2}, e_{i-1}, f_{a_i-1}, f_{a_i}, f_{a_i+1}) = f_{a_i-1} \downarrow \mathbf{D} \downarrow \mathbf{D}$$

Neural Features for Translation

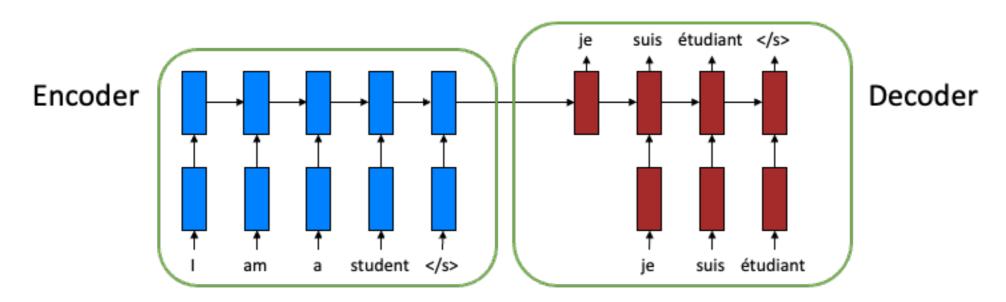
- Word alignment is still needed.
- Improves over SMT

BOLT Test			
	Ar-En		
	BLEU	% Gain	
"Simple Hier." Baseline	33.8	-	
S2T/L2R NNJM (Dec)	38.4	100%	
Source Window=7	38.3	98%	
Source Window=5	38.2	96%	
Source Window=3	37.8	87%	
Source Window=0	35.3	33%	
Layers=384x768x768	38.5	102%	
Layers=192x512	38.1	93%	
Layers=128x128	37.1	72%	
Vocab=64,000	38.5	102%	
Vocab=16,000	38.1	93%	
Vocab=8,000	37.3	83%	
Activation=Rectified Lin.	38.5	102%	
Activation=Linear	37.3	76%	

Devlin et al. 2014

Fully Neural Translation

- Fully end-to-end RNN-based MT model
- Encode the source sentence using one RNN
- Generate the target sentence one word at a time using another RNN



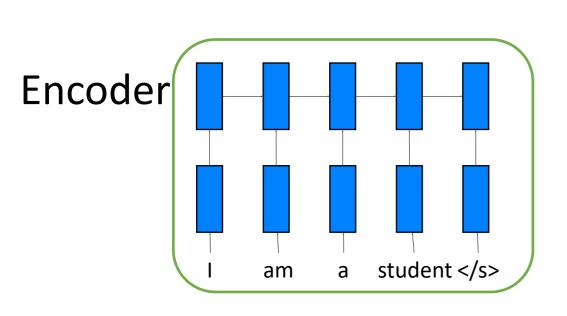
Sutskever et al. 2014

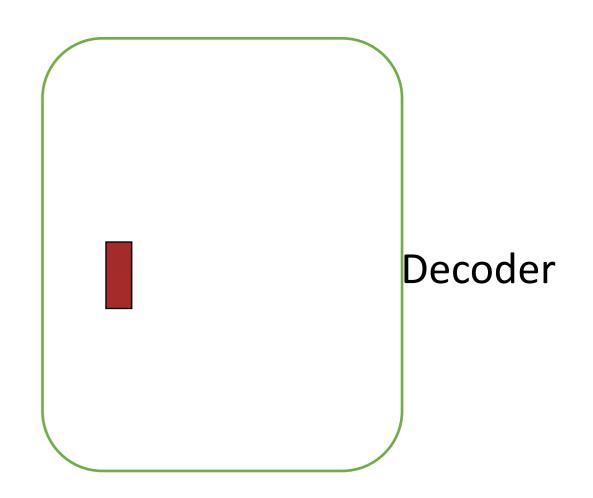
- The encoder-decoder model struggles with long sentences
- An RNN is trying to compress an arbitrarily long sentence into a finite-length word vector
- What if we only look at one (or a few) source words when we generate each output word?

Intuition

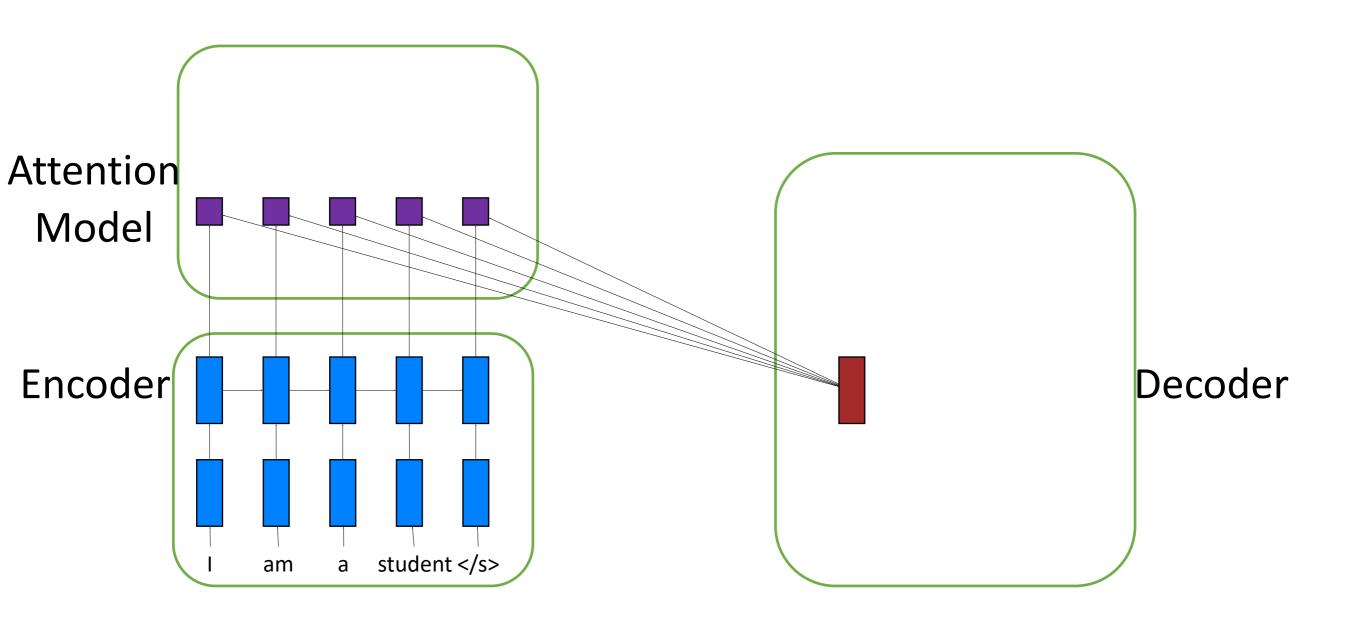
うち の 大きな黒い犬 が 可哀想体師便屋に 噛み ついた。

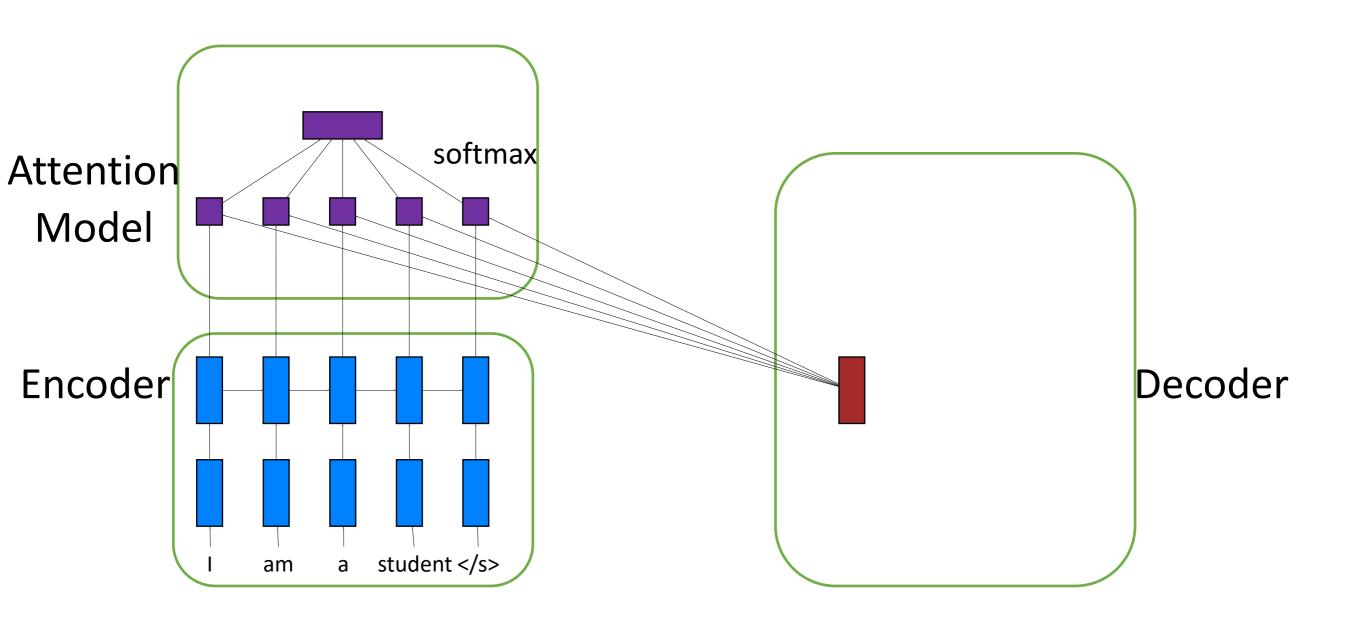
Our large black dog bit the poor mailman

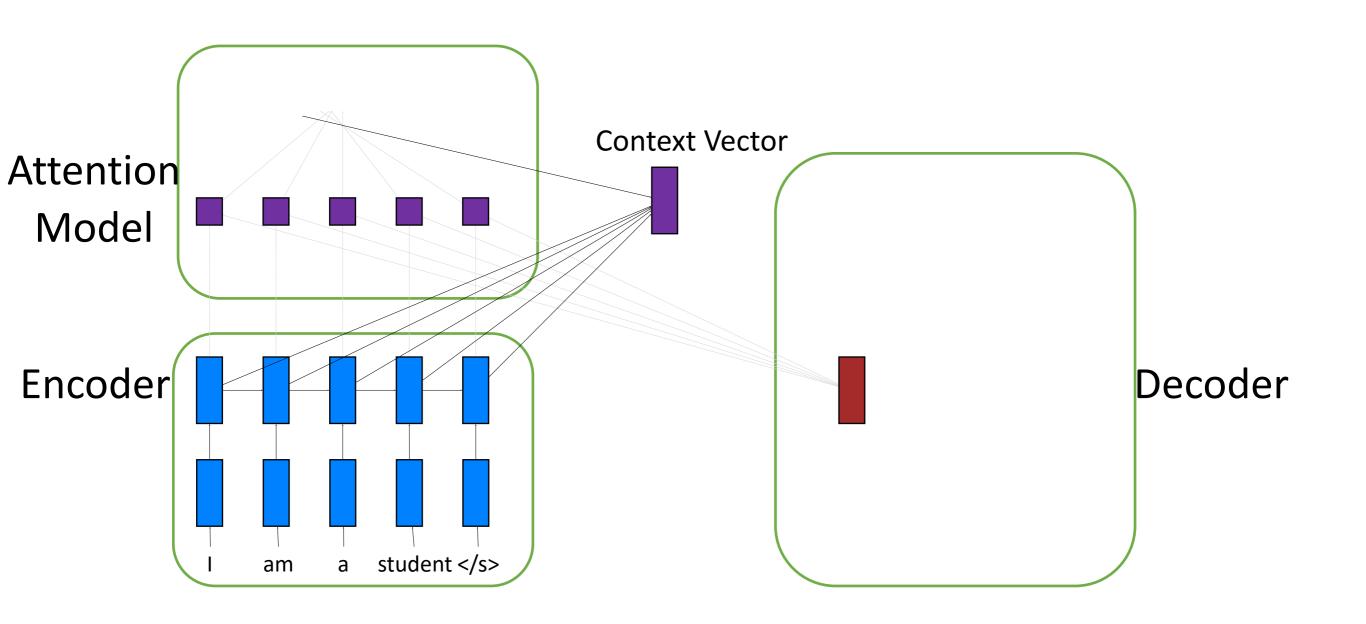


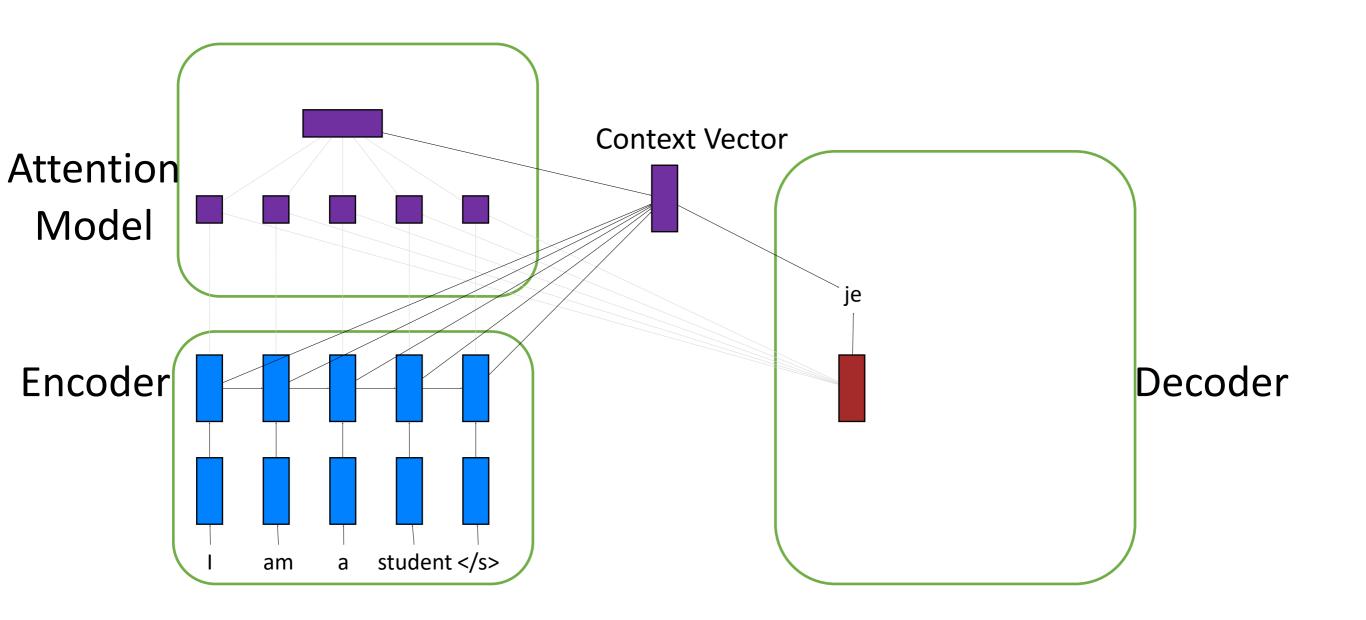


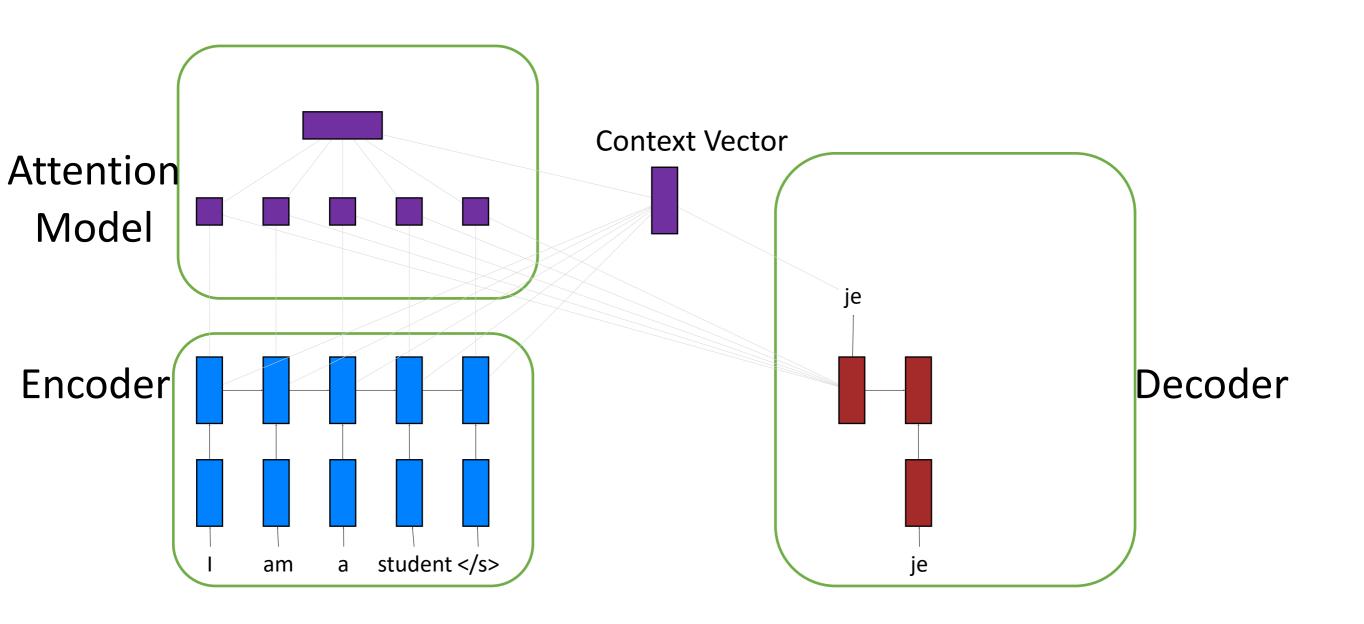
Bahdanau et al. 2014

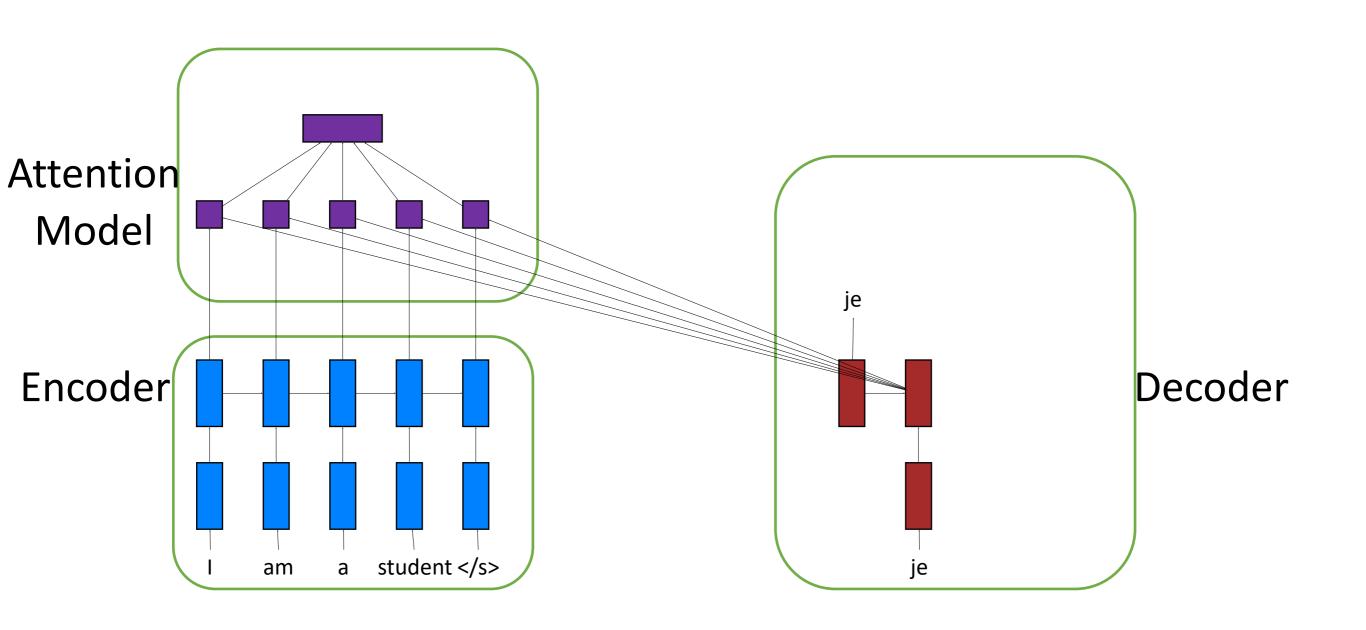


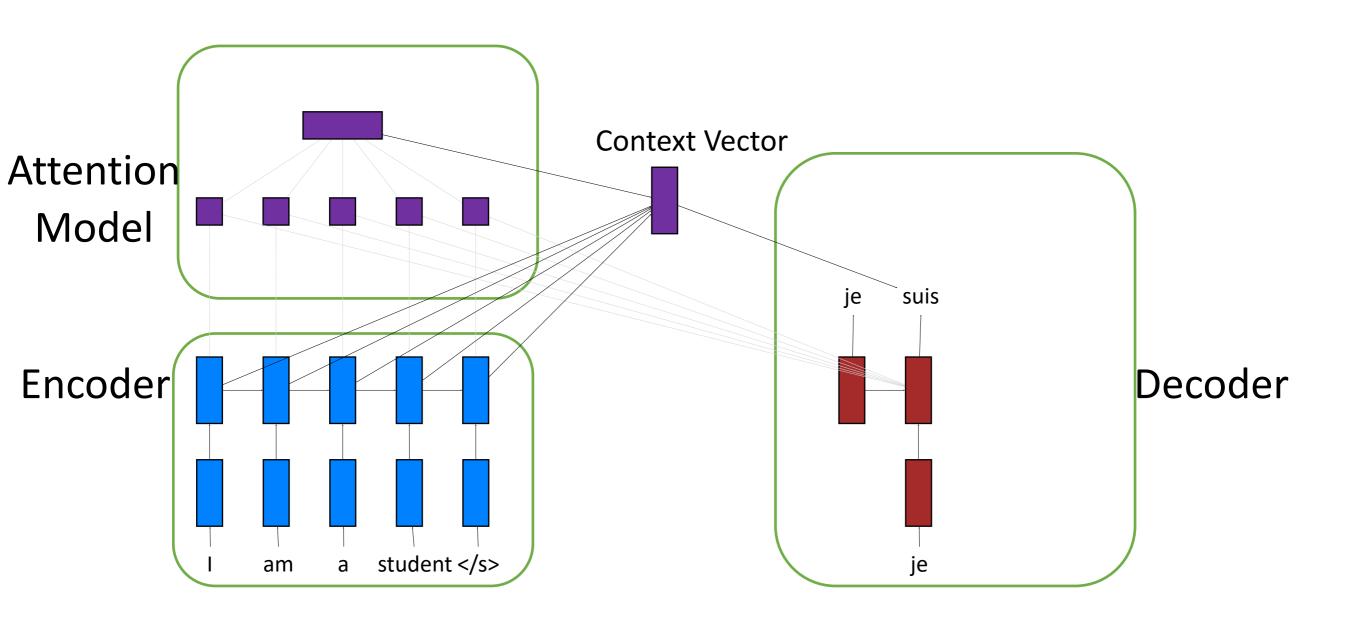


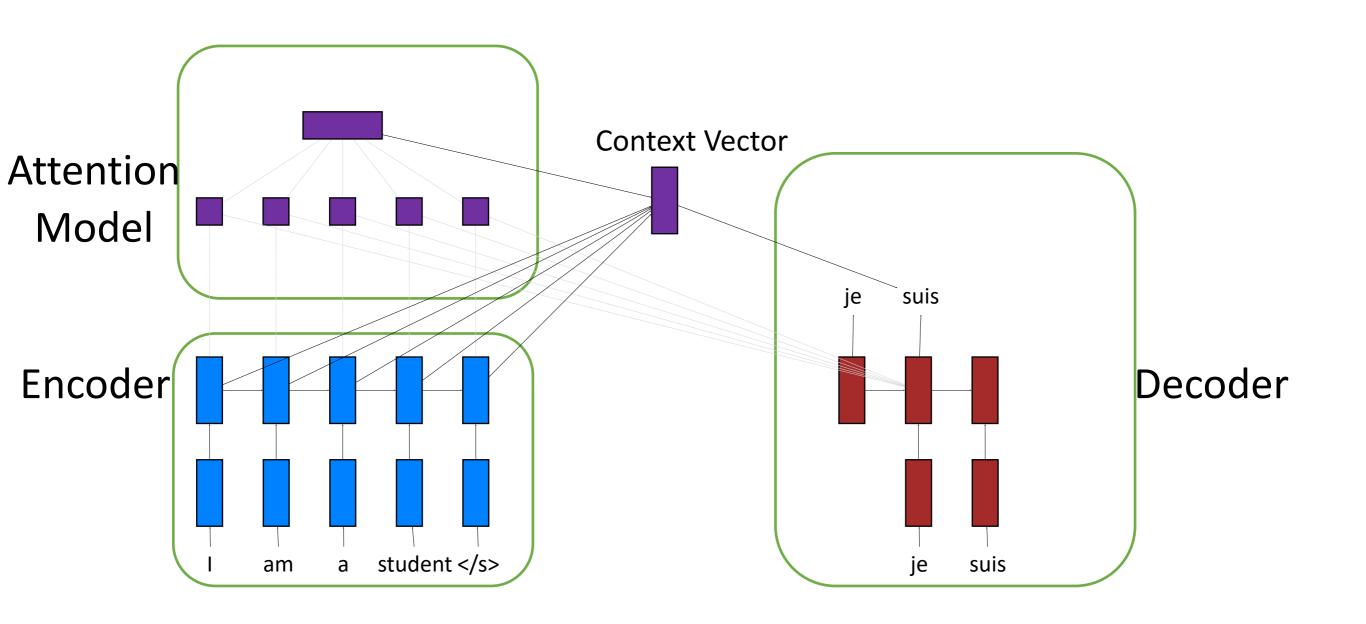


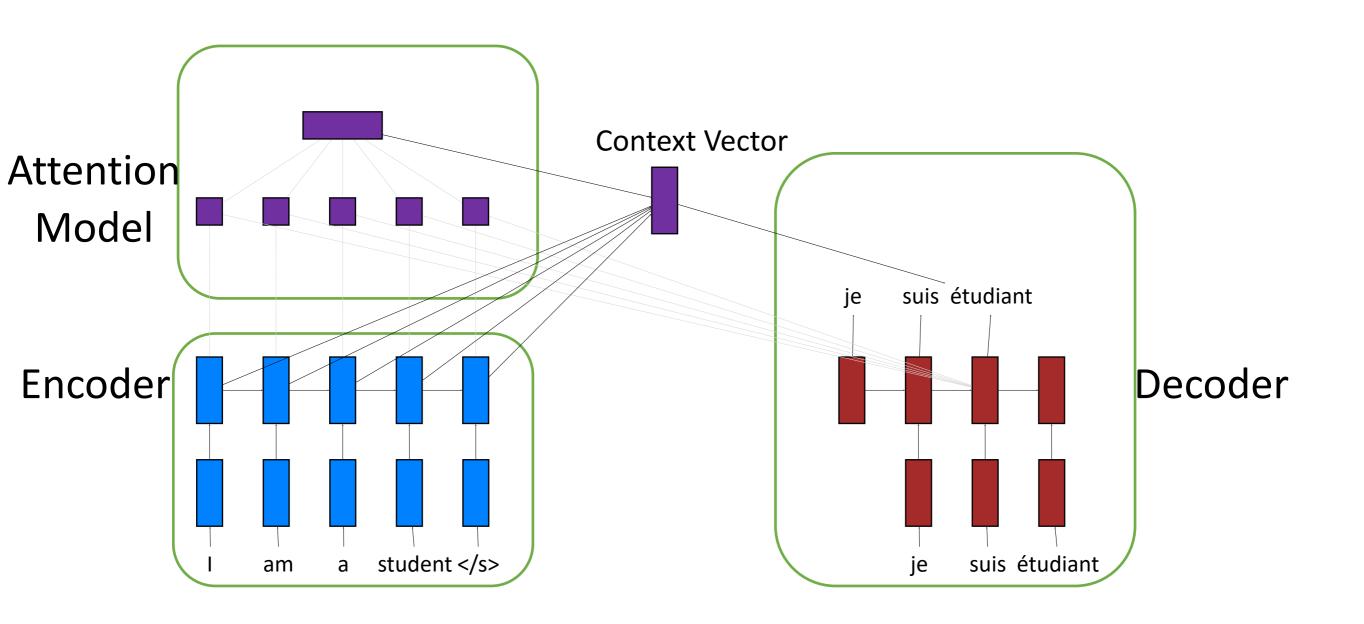


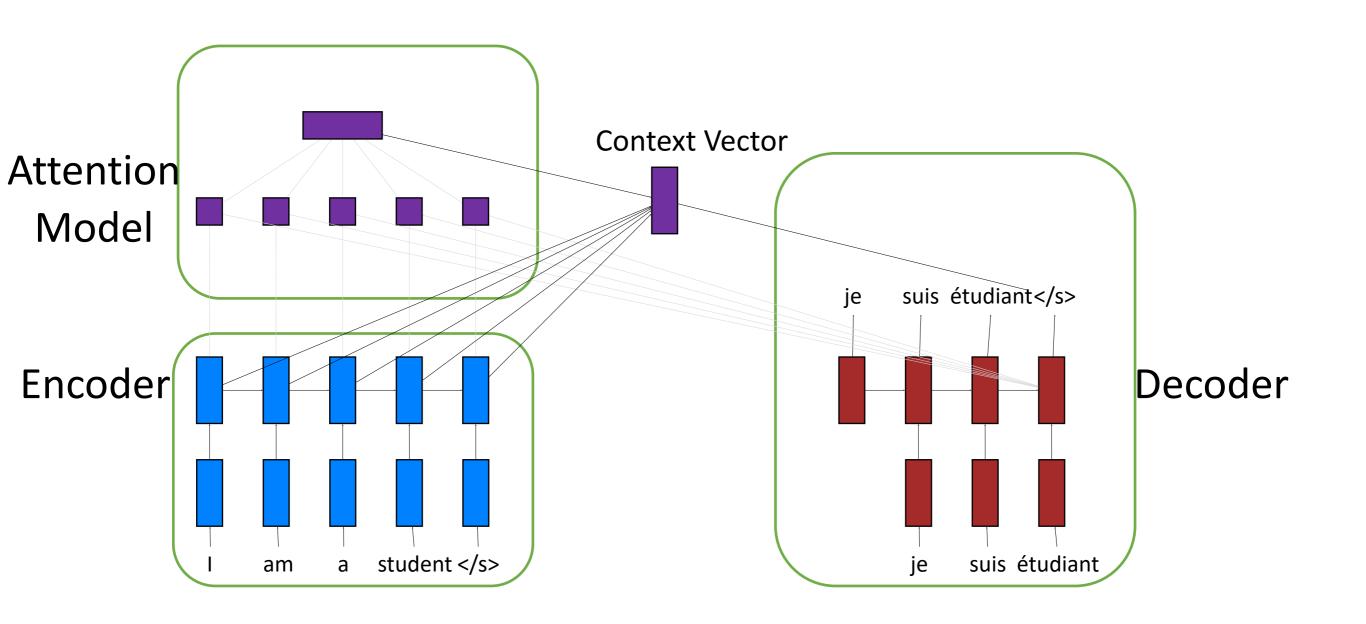












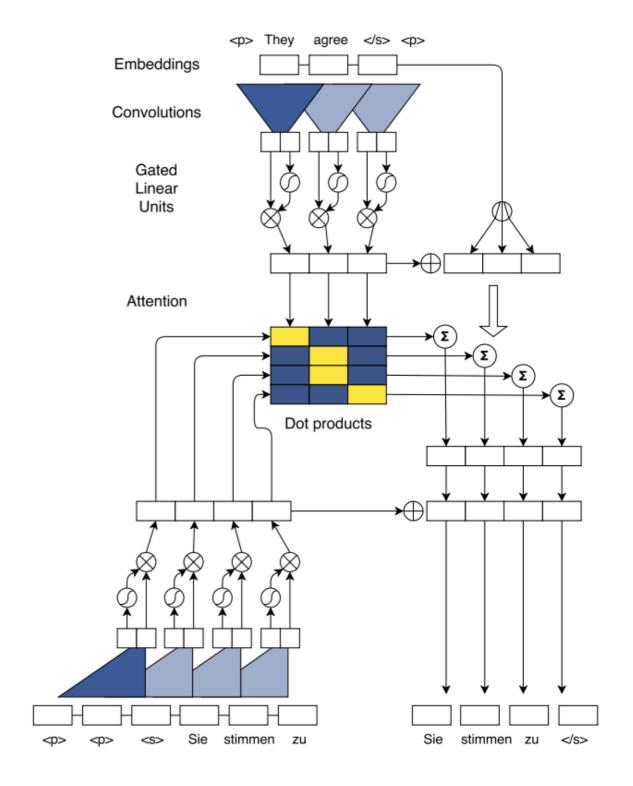
Convolutional Encoder-Decoder

• CNN:

- encodes words within a fixed size window
- Parallel computation
- Shortest path to cover a wider range of words

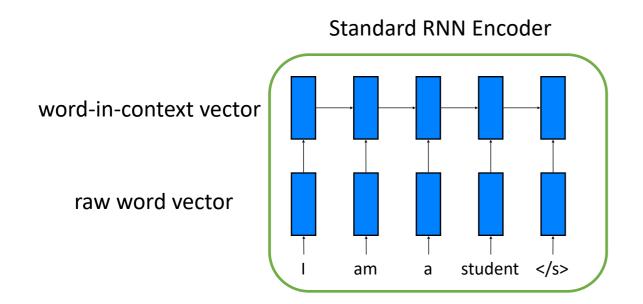
• RNN:

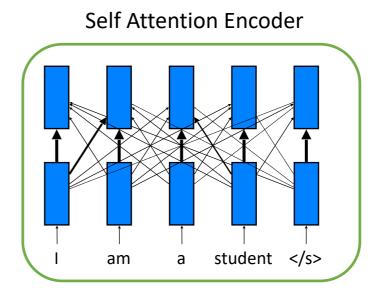
- sequentially encode a sentence from left to right
- Hard to parallelize



Transformer

 Idea: Instead of using an RNN to encode the source sentence and the partial target sentence, use self-attention!



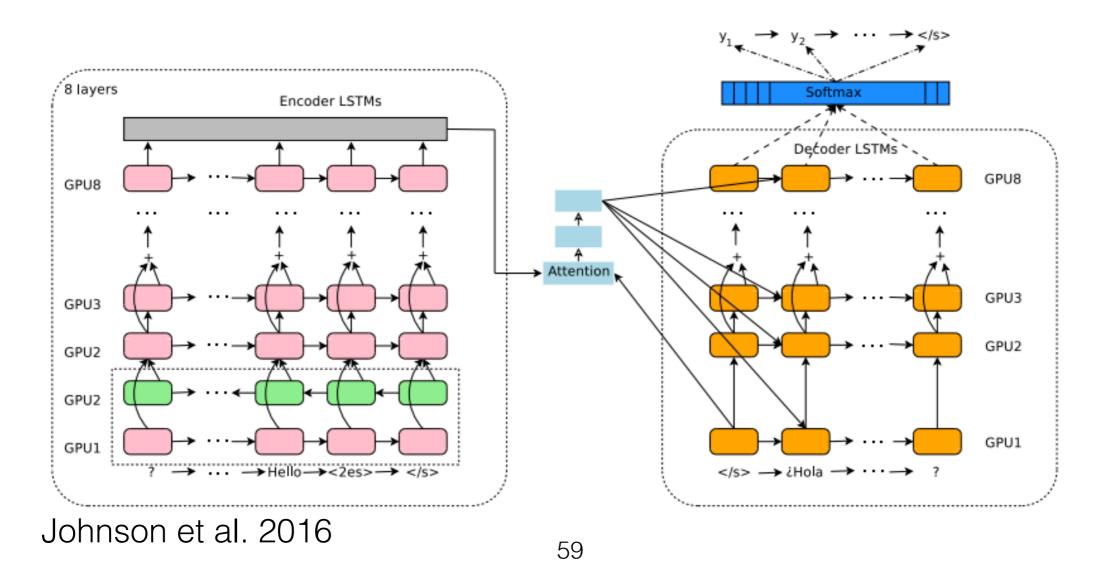


Transformer

- Computation is easily parallelizable
- Shorter path from each target word to each source word -> stronger gradient signals
- Empirically stronger translation performance
- Empirically trains substantially faster than more serial models

Model	BL	EU	Training Co	Training Cost (FLOPs)		
Model	EN-DE	EN-FR	EN-DE	EN-FR		
ByteNet [17]	23.75					
Deep-Att + PosUnk [37]		39.2		$1.0 \cdot 10^{20}$		
GNMT + RL [36]	24.6	39.92	$2.3 \cdot 10^{19}$	$1.4 \cdot 10^{20}$		
ConvS2S [9]	25.16	40.46	$9.6 \cdot 10^{18}$	$1.5 \cdot 10^{20}$		
MoE [31]	26.03	40.56	$2.0\cdot 10^{19}$	$1.2\cdot 10^{20}$		
Deep-Att + PosUnk Ensemble [37]		40.4		$8.0 \cdot 10^{20}$		
GNMT + RL Ensemble [36]	26.30	41.16	$1.8 \cdot 10^{20}$	$1.1 \cdot 10^{21}$		
ConvS2S Ensemble [9]	26.36	41.29	$7.7\cdot 10^{19}$	$1.2\cdot 10^{21}$		
Transformer (base model)	27.3	38.1	3.3 ·	10^{18}		
Transformer (big)	28.4	41.0	$2.3\cdot 10^{19}$			

- Stack 8-layers of LSTM encoder, and 8-layers of LSTM decoder
- Only use the last layer of encoder LSTM to perform target-to-source attention -> Re-use the context vector for each decoder layer
- Use the language code to indicate which target language to translate



 Add the target language code to the start of the source sentence, which enables sharing parameters for different language pairs (many-to-one, one-to-many, zero-shot translation)

Hello, how are you? -> Hola, ¿cómo estás?

Table 5: Portuguese→Spanish BLEU scores using various models.

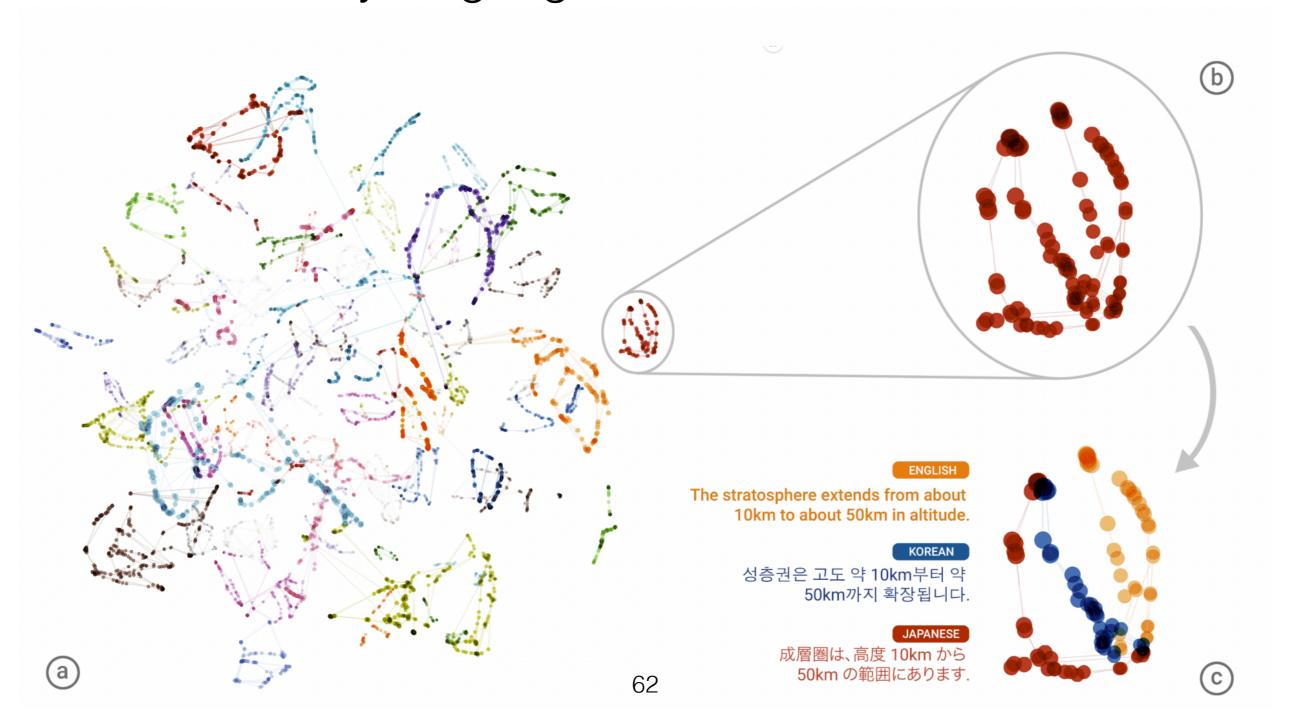
	Model	Zero-shot	BLEU
$\overline{\rm (a)}$	PBMT bridged	no	28.99
(b)	NMT bridged	no	30.91
(c)	$NMT Pt \rightarrow Es$	no	31.50
(d)	Model 1 (Pt \rightarrow En, En \rightarrow Es)	yes	21.62
(e)	Model 2 (En \leftrightarrow {Es, Pt})	yes	24.75
(f)	Model 2 + incremental training	no	31.77

Interpolate the language code embeddings

$$(1-w)<2ja>+w<2ko>$$

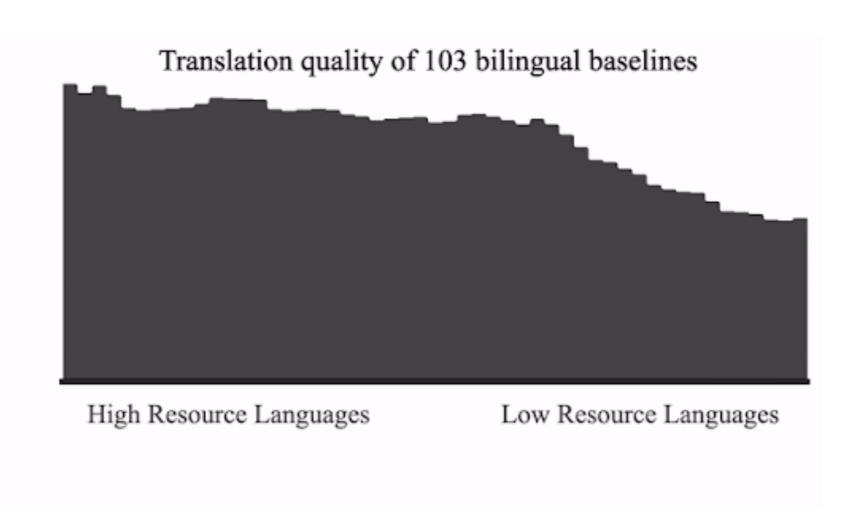
Russian/Belarusian:	I wonder what they'll do next!
$w_{be} = 0.00$	Интересно, что они сделают дальше!
$w_{be} = 0.20$	Интересно, что они сделают дальше!
$w_{be} = 0.30$	Цікаво, что они будут делать дальше!
$w_{be} = 0.44$	<u>Цікаво</u> , що вони будуть робити далі!
$w_{be} = 0.46$	Цікаво, що вони будуть робити далі!
$w_{be} = 0.48$	Цікаво, што яны зробяць далей!
$w_{be} = 0.50$	<u>Щікава</u> , што яны будуць рабіць далей!
$w_{be} = 1.00$	Цікава, што яны будуць рабіць далей!
Japanese/Korean:	I must be getting somewhere near the centre of the earth.
$\frac{\text{Japanese/Korean:}}{w_{ko} = 0.00}$	I must be getting somewhere near the centre of the earth. 私は地球の中心の近くにどこかに行っているに違いない。
	<u> </u>
$w_{ko} = 0.00$	私は地球の中心の近くにどこかに行っているに違いない。
$w_{ko} = 0.00$ $w_{ko} = 0.40$	私は地球の中心の近くにどこかに行っているに違いない。 私は地球の中心近くのどこかに着いているに違いない。
$w_{ko} = 0.00$ $w_{ko} = 0.40$ $w_{ko} = 0.56$	私は地球の中心の近くにどこかに行っているに違いない。 私は地球の中心近くのどこかに着いているに違いない。 私は地球の中心の近くのどこかになっているに違いない。
$w_{ko} = 0.00$ $w_{ko} = 0.40$ $w_{ko} = 0.56$ $w_{ko} = 0.58$	私は地球の中心の近くにどこかに行っているに違いない。 私は地球の中心近くのどこかに着いているに違いない。 私は地球の中心の近くのどこかになっているに違いない。 私はス子の中心의가か이에어딘가에도착하고있어야한다。
$w_{ko} = 0.00$ $w_{ko} = 0.40$ $w_{ko} = 0.56$ $w_{ko} = 0.58$ $w_{ko} = 0.60$	私は地球の中心の近くにどこかに行っているに違いない。 私は地球の中心近くのどこかに着いているに違いない。 私は地球の中心の近くのどこかになっているに違いない。 私は지구の中心의가까이에어딘가에도착하고있어야한다。 나는지구의센터의가까이에어딘가에도착하고있어야한다。
$w_{ko} = 0.00$ $w_{ko} = 0.40$ $w_{ko} = 0.56$ $w_{ko} = 0.58$ $w_{ko} = 0.60$ $w_{ko} = 0.70$	私は地球の中心の近くにどこかに行っているに違いない。 私は地球の中心近くのどこかに着いているに違いない。 私は地球の中心の近くのどこかになっているに違いない。 私は지구の中心의가까이에어딘가에도착하고있어야한다。 나는지구의센터의가까이에어딘가에도착하고있어야한다。 나는지구의중심근처어딘가에도착해야합니다。

 Sentence embeddings learned by MNMT are clustered by languages



Multilingual vs Bilingual

Multilingual NMT especially improves low-resource language translation



Hybrid MT

Incorporating Discrete Translation Lexicons in Neural Machine Translation

- Estimate the probability of translation lexicons (a.k.a translation phrases) $p_l(\cdot)$
- Integrate this lexicon probability $p_l(\cdot)$ with NMT probability $p_m(\cdot)$
 - Add them as a bias to the NMT's softmax output

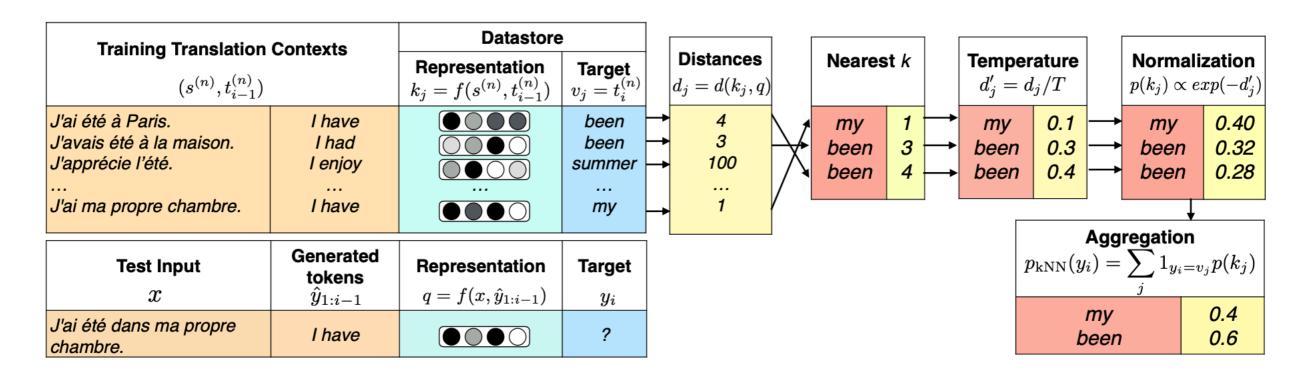
$$p_b(e_i|F, e_1^{i-1}) = \text{softmax}(W_s \eta_i + b_s + \log(p_l(e_i|F, e_1^{i-1}) + \epsilon)).$$

Linear interpolation

$$p_o(e_i|F, e_1^{i-1}) = \lambda p_l(e_i|F, e_1^{i-1}) + (1 - \lambda)p_m(e_i|F, e_1^{i-1})$$

KNN-MT

 Construct a datastore (e.g., phrase table in SMT), and perform KNN retrieval to get related phrases for a test input



$$p(y_i|x, \hat{y}_{1:i-1}) = \lambda \ p_{kNN}(y_i|x, \hat{y}_{1:i-1}) + (1-\lambda) \ p_{MT}(y_i|x, \hat{y}_{1:i-1})$$

Khandelwal et al ICLR 2021. Nearest Neighbor Machine Translation 66

Prompt-based MT

 Given a translation instruction and few-shot examples, ask a LLM to perform MT

Zero-shot

The model predicts the answer given only a natural language description of the task. No gradient updates are performed.

Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.

```
Translate English to French: 

sea otter => loutre de mer 

peppermint => menthe poivrée

plush girafe => girafe peluche

cheese => 

prompt
```

Prompt-based MT

- Perform comparable or even better at XX-English translation, but still far behind at English-XX translation
- GPT-3's training data (93% by word count) is still English

Setting	En→Fr	Fr→En	En→De	De→En	En→Ro	Ro→En
SOTA (Supervised)	45.6 ^a	35.0 ^b	41.2 ^c	40.2^{d}	38.5 ^e	39.9 ^e
XLM [LC19] MASS [STQ ⁺ 19] mBART [LGG ⁺ 20]	33.4 <u>37.5</u>	33.3 34.9	26.4 28.3 <u>29.8</u>	34.3 35.2 34.0	33.3 35.2 35.0	31.8 33.1 30.5
GPT-3 Zero-Shot GPT-3 One-Shot GPT-3 Few-Shot	25.2 28.3 32.6	21.2 33.7 39.2	24.6 26.2 29.7	27.2 30.4 <u>40.6</u>	14.1 20.6 21.0	19.9 38.6 <u>39.5</u>

Prompt-based MT

Ask ChatGPT to create prompts for MT



Future Research of MT

Six Challenges of NMT

- 1. NMT works poorly on out-of-domain sentences
- 2. Works better in high-resource languages, not in **low-resource** languages.
- 3. Weakness in **low-frequency words** w.r.t. SMT
- 4. Bad at very long sentences
- Attentions do not always fulfill the role of a word alignment
- 6. Beam search decoding only works with **a smaller beam size**, and deteriorates when exposed to a larger search space.

Massively Multilingual NMT in the Wild

- Data and supervision: learn from monolingual data for most low-resourced languages (e.g., pre-training, data augmentation such as back-translation (Sennrich et al. 2015), language model fusion (Gulcehre et al. 2015), unsupervised NMT (Lample et al. 2017)
- Multitask training: cross-lingual transfer (Neubig, Hu 2018), meta learning (Nichol et al. 2018), curriculum learning (Graves et al. 2017)
- Increasing Capacity: train on more languages, efficiency
- Architecture & Vocabulary: character NMT (Lee et al. 2017), byte-based NMT (Gillick et al. 2015)

Comparison between SMT and NMT

- SMT (non-parametric model)
 - Explicitly store translation phrase pairs
 - High precision, but low coverage, thus poor generation
 - Easy manipulation (insert/delete/update pairs in the translation phrase table)
- **NMT** (over-parametric model)
 - Implicitly store translation phrase pairs in model parameters
 - Better generation, but data-hungry
 - Hard manipulation (catastrophic forgetting after fine-tuning, domain adaptation issues)
- Hybrid MT (e.g., Retrieval-MT, Prompt-based MT)
 - Leverage both neural network parameters and retrieved translation phrase pairs
 - Perform better than NMT on domain adaptation when providing new domain information from retrieval.

Questions?