CS769 Advanced NLP

Introduction to Natural Language Processing

Junjie Hu

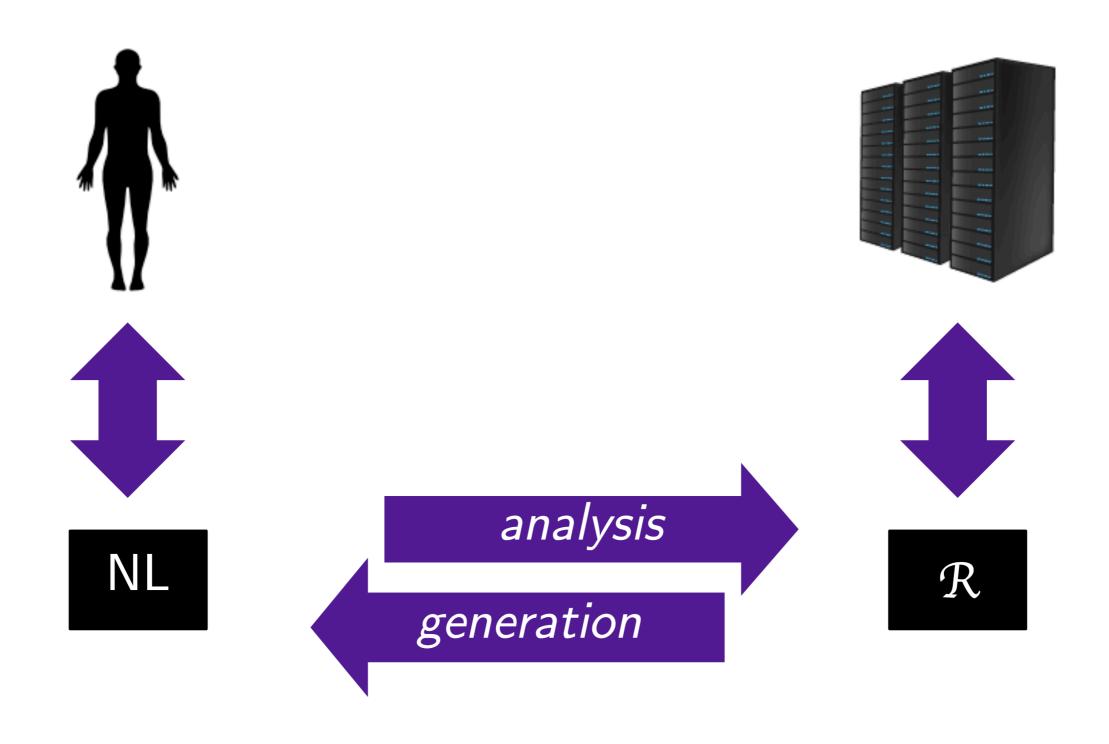


Slides adapted from Noah, Yulia, Graham https://junjiehu.github.io/cs769-spring23/

What is NLP?

- NL ∈ {Chinese, English, Spanish, Hindi, ...}
- \mathcal{R} : intermediate meaning representations
- Automation of:
 - **Analysis** or Interpretation of what a text means (NL $\rightarrow \mathcal{R}$)
 - Generation of fluent, meaningful text
 - Acquisition of these capabilities from knowledge and data

What is NLP?

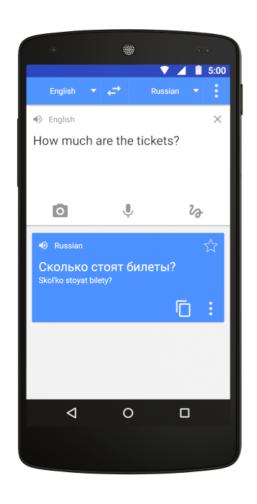


What is NLP?

- Technology to handle human language (usually text) using computers
- Aid human-human communication (e.g., machine translation)
- Aid human-machine communication (e.g., question answering, dialog systems)
- Analyze/generate language (e.g., syntactic analysis, text classification, entity/relation recognition/linking)

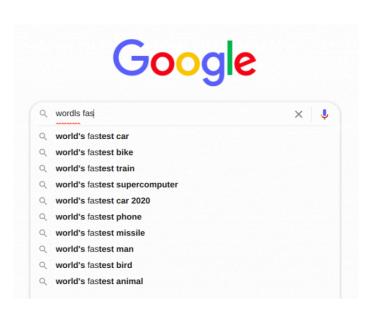
Language Technologies

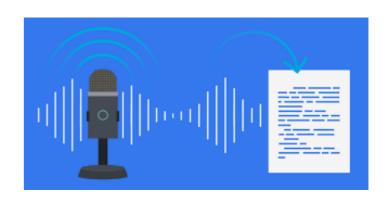
 We now use NLP several times a day, sometimes without knowing it!



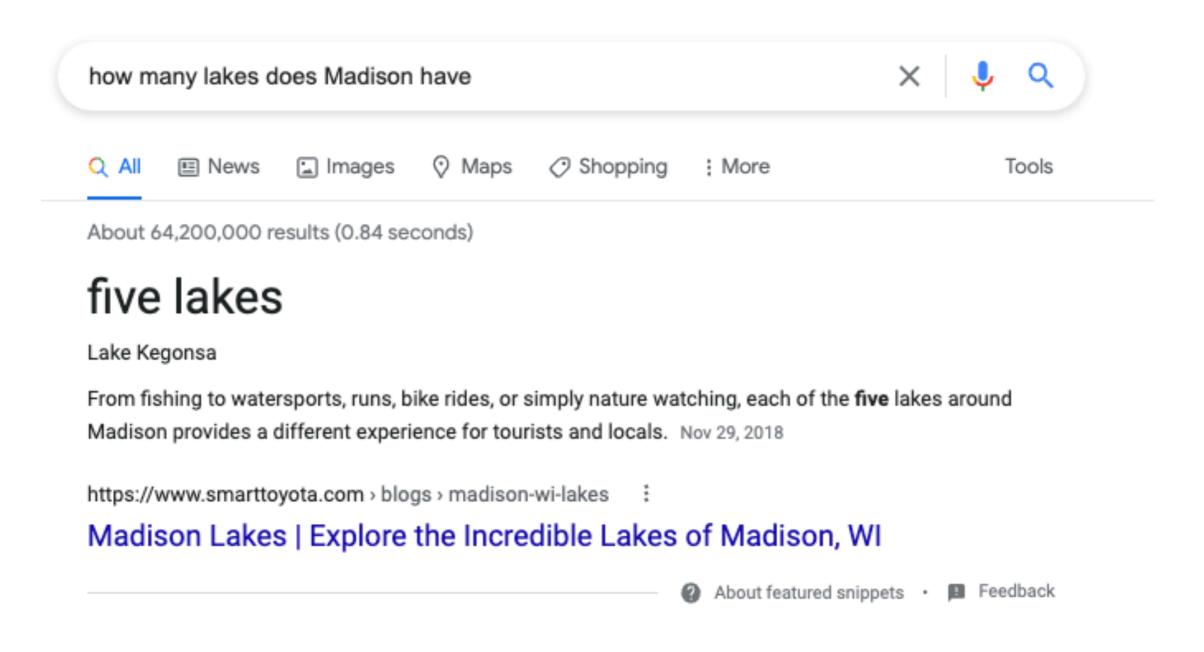








NLP can Answer our Questions



Retrieved Jan. 25, 2022

NLP can Answer our Questions

- What does "divergent" mean?
- What year was Abraham Lincoln born?
- How many states were in the United States that year?
- How much Chinese silk was exported to England in the end of the 18th century?
- What do scientists think about the ethics of human cloning?



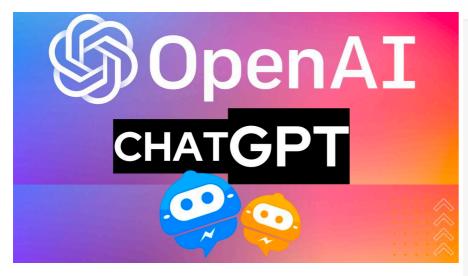
IBM Watson won Jeopardy! Champions in early 2011

NLP can Write Letters



This is Junjie Hu, an assistant professor from UW-Madison. Please help me write a recommendation letter for a student's PhD application. She has been working with me on a project on machine translation for 1 year. She is excellent.





To whom it may concern,





I am writing to highly recommend [Student's Name] for her PhD application. I have had the pleasure of working with [Student's Name] for the past year on a project related to machine translation, and I can confidently say that she is an exceptional student and researcher.

Throughout the duration of our collaboration, [Student's Name] has demonstrated a high level of technical expertise and a keen understanding of the field. She has been a valuable member of our research team, contributing valuable insights and ideas to the project and consistently producing high-quality work. Her attention to detail, ability to think critically, and her ability to work independently are truly remarkable.

[Student's Name] has also shown a strong commitment to her work, regularly going above and beyond her responsibilities to ensure that our project is a success. She has consistently demonstrated an unwavering work ethic and a willingness to take on new challenges. Her dedication to her work and her willingness to learn have made her a valuable asset to our team.

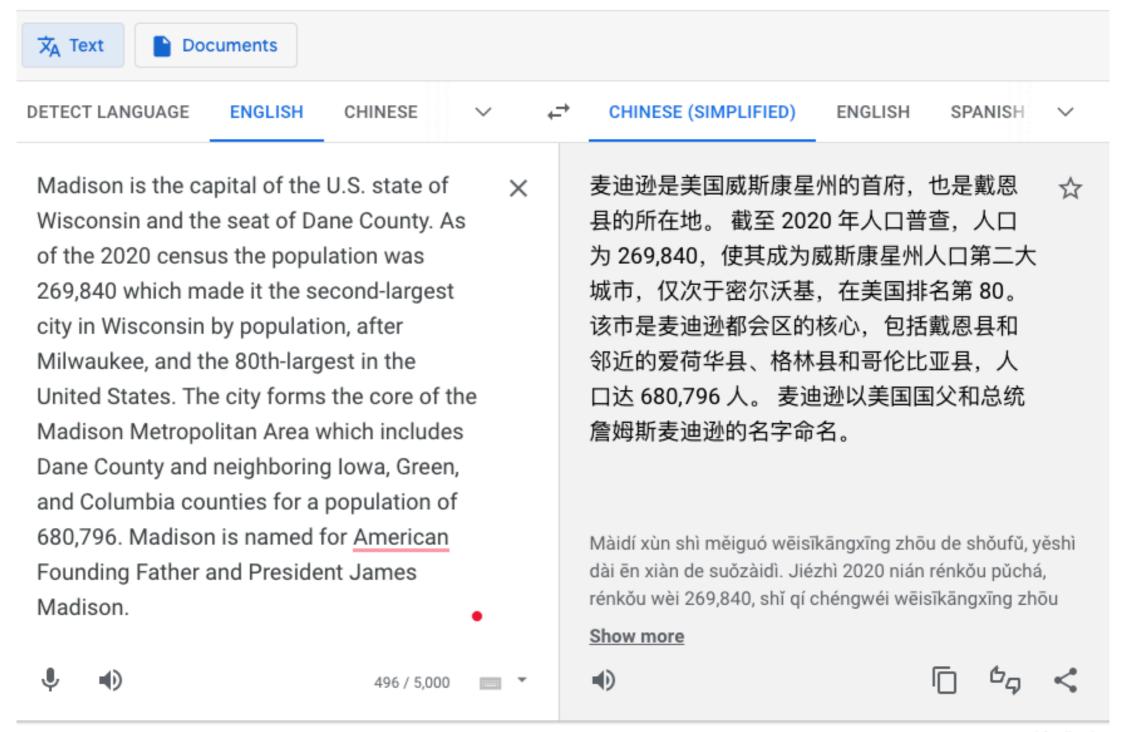
I believe that [Student's Name] would be an excellent candidate for a PhD program in [related field]. She has the necessary skills, knowledge, and drive to excel in graduate studies and make significant contributions to her field. I wholeheartedly recommend [Student's Name] for her PhD application and am confident that she will be an asset to your program.

Sincerely,

Junjie Hu **Assistant Professor** University of Wisconsin-Madison

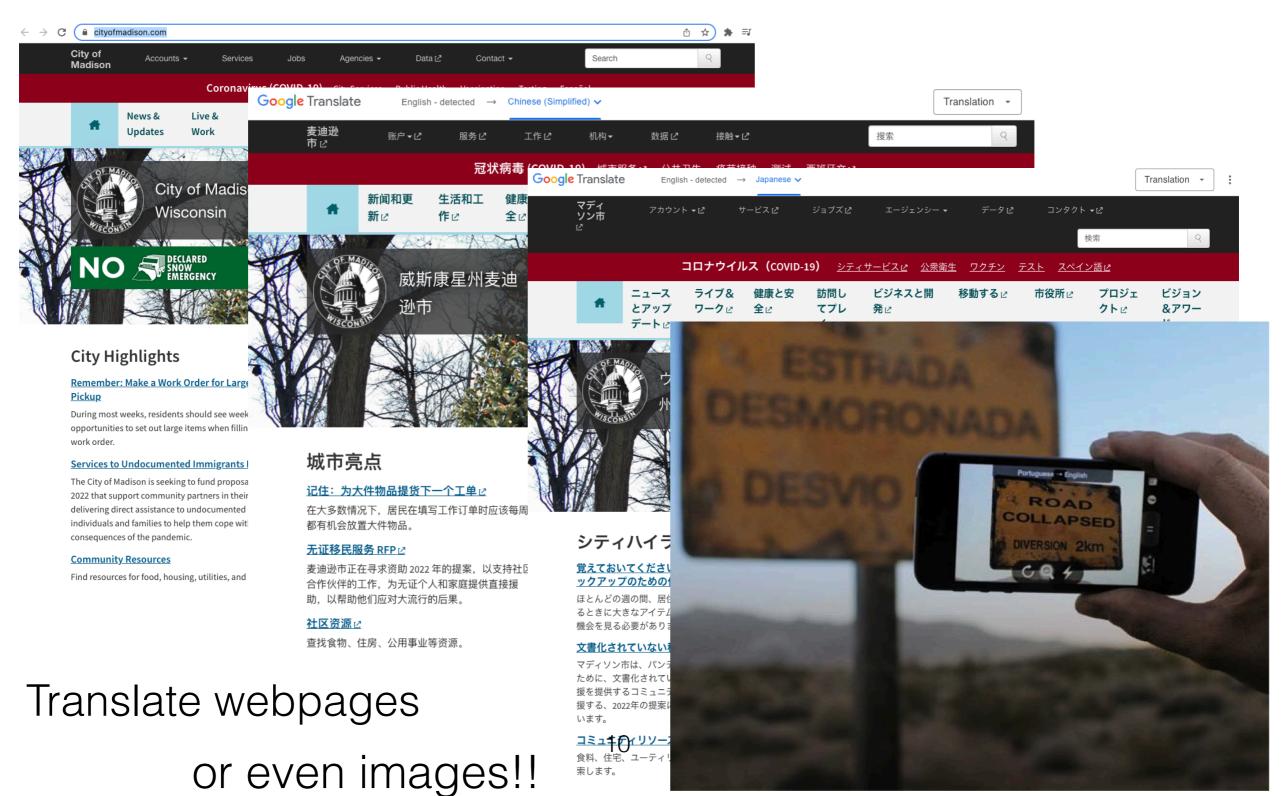
 ChatGPT may save our time! But don't just take the letter as is.

NLP can Translate Text



Send feedback

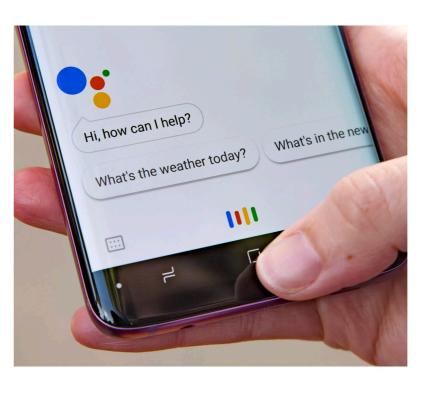
NLP can Translate Text



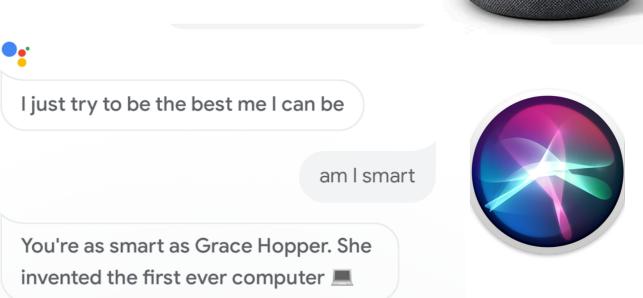
NLP can Chat with You

Conversational agents:

- Speech recognition
- Language analysis
- Dialogue processing
- Information retrieval
- Text to speech







NLP

Applications

- Machine translation
- Information retrieval
- Question answering
- Dialogue systems
- Information extraction
- Summarization
- Sentiment analysis

• ...

Core technologies

- Language modeling
- Part-of-speech tagging
- Syntactic parsing
- Named entity recognition
- Coreference resolution
- Word sense disambiguation
- Semantic role labelling

• ...

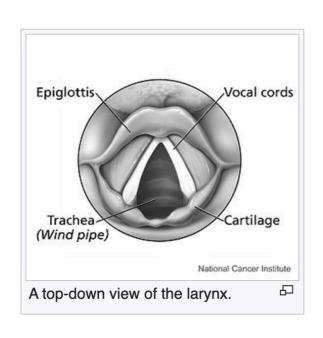
Levels of Linguistic Knowledge

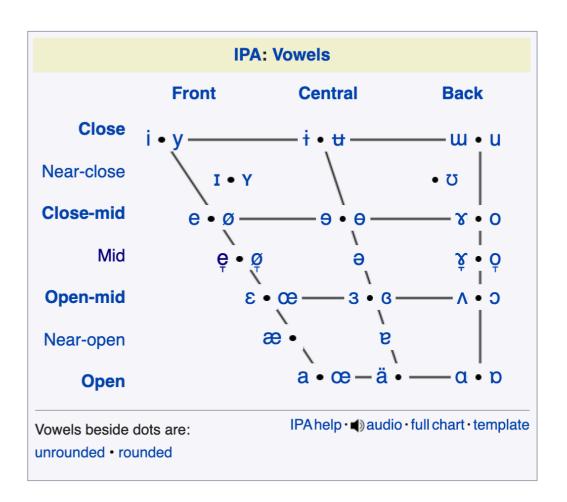
speech text phonetics orthography phonology morphology What dose an NLP system need to "know" a language? lexemes "shallower" syntax semantics "deeper" pragmatics

discourse

Phonetics, Phonology

 Study how humans produce and perceive sounds, or in the case of sign languages, the equivalent aspects of sign





Orthography (Writing Systems)

• Thai script:

• ลูกศิษย์วัดกระทิงยังยื้อปิดถนนทางขึ้นไปนมัสการพระบาทเขาคิชฌกูฏ หวิดปะทะ กับเจ้าถิ่นที่ออกมาเผชิญหน้าเพราะเดือดร้อนสัญจรไม่ได้ ผวจ.เร่งทุกฝ่ายเจรจา ก่อนที่ชื่อเสียงของจังหวัดจะเสียหายไปมากกว่านี้ พร้อมเสนอหยุดจัดงาน 15 วัน....

• Latin script:

- The Latin script, also known as Roman script, is an alphabetic writing system based on the letters of the classical Latin alphabet.
- Arabic script:
 - لم تعترف منظمة الأمم المتحدة باللغة العربية رسميًا إلا في 18 ديسمبر عام 1973، بعد محاولات مضنية من قادة الدول العربية للاعتراف باللغة العربية داخل المنظمة الأممية الكبيرة منذ تأسيسها عام 1945 وحتى تاريخ الاعتراف

طيلة السنوات التي لم تكن الأمم المتحدة اعترفت باللغة العربية رسميًا، كان رؤساء الدول العربية يتحدثون اللغة العربية مع حضور مترجم، وكان أول رئيس يقوم بإلقاء خطاب سياسي قبل قرار الاعتراف هو رئيس جمهورية مصر العربية جمال عبد الناصر

.. المزيد على دنيا الوطن

Morphology (Assembly of Words)

- Study of how words are formed: such as stems, root words, prefixes, suffixes
 - [Turkish]: uygarla¸stıramadıklarımızdanmı¸ssınızcasına ← agglutinative language
 - [English]: "(behaving) as if you are among those whom we could not civilize"

[English]: unfriend → un + friend , Obamacare → Obama + care

• WORDS This is a simple sentence

MORPHOLOGY

be

3sg
present

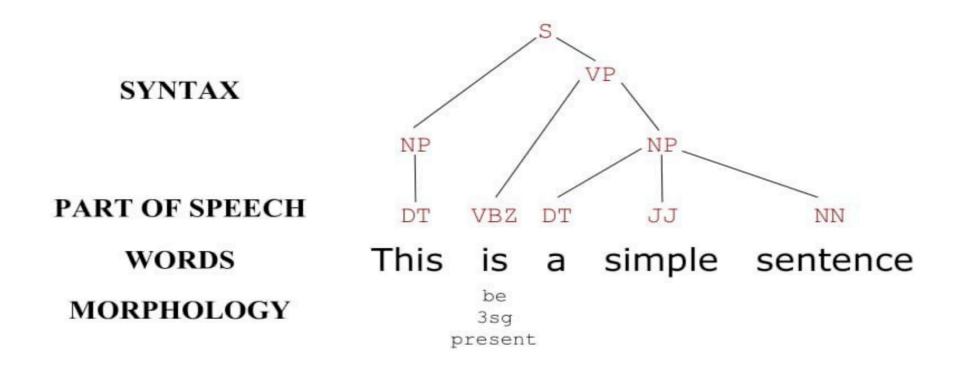
Part-of-Speech

 Predict which category a word is assigned to in accordance with its syntactic functions.



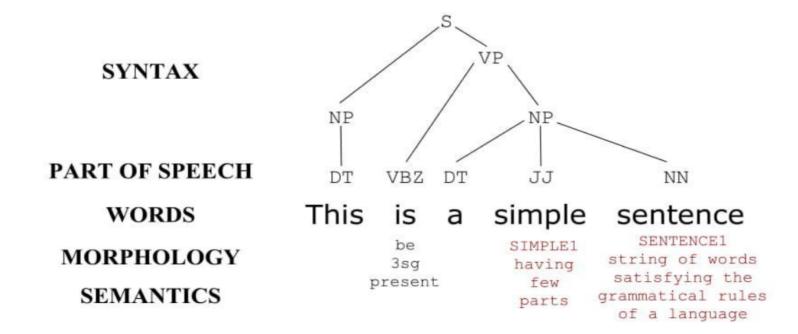
Syntax

- Study of how words and morphemes combine to form larger units such as phrases and sentences.
 - Constituency Grammars
 - Dependency Grammars



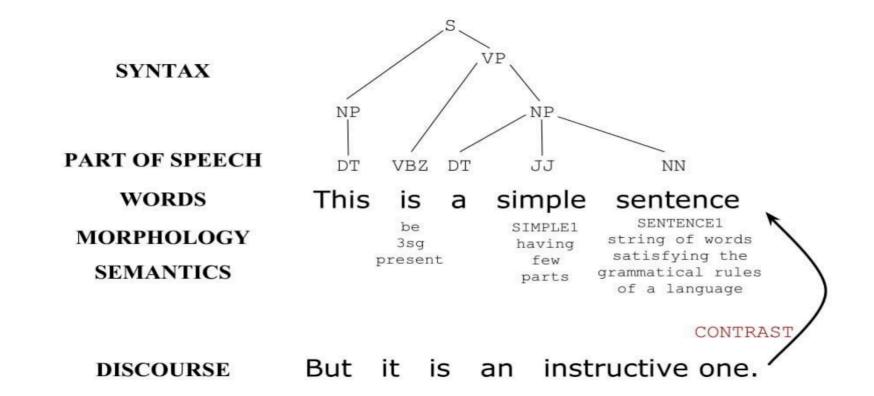
Semantics

- Study meaning of words, phrases, sentences, or larger units (w/ discourse)
 - Named entity recognition
 - Word sense disambiguation
 - Semantic role labeling



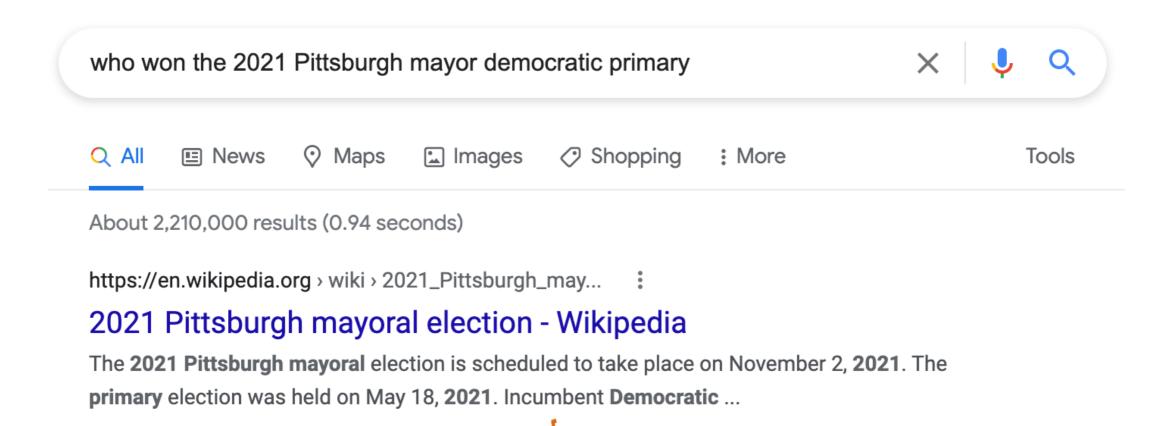
Discourse

- Analysis of language "beyond the sentence"
 - <> analysis of sounds (phonetics)
 - <> analysis of words (morphology)
 - <> analysis of meaning (semantics)
 - <> analysis of word order (syntax)



Where are we now for NLP research?

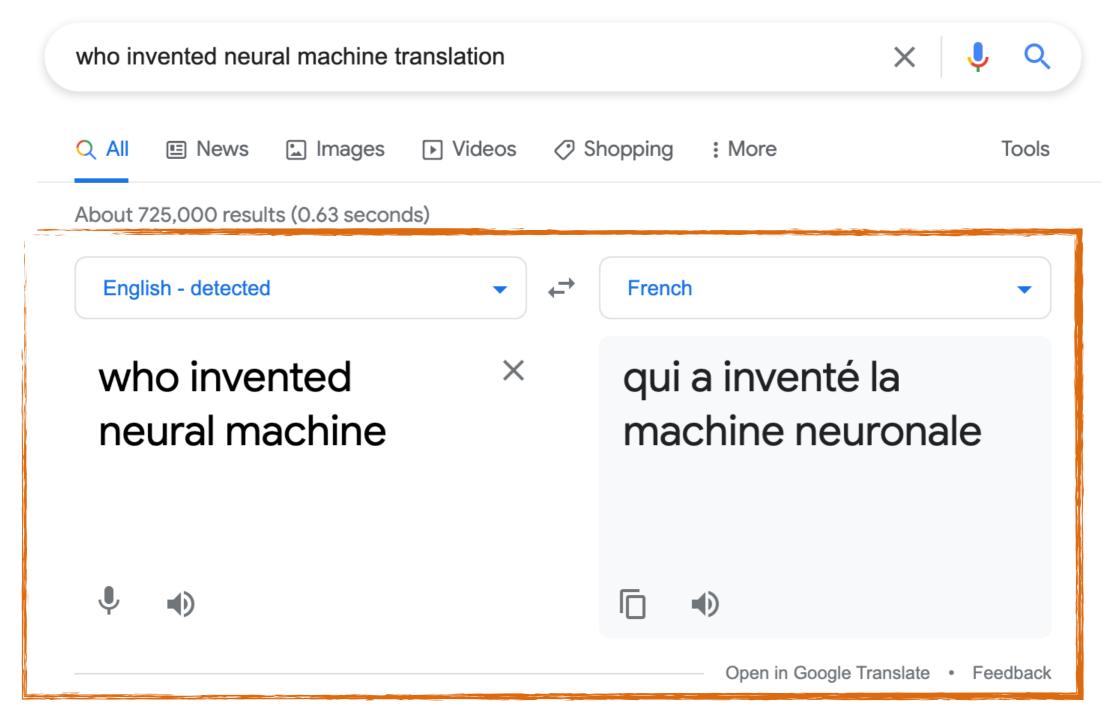
NLP cannot Answer our Questions



The **2021 Pittsburgh mayoral election** is scheduled to take place on November 2, 2021. The primary election was held on May 18, 2021. Incumbent Democratic Mayor Bill Peduto ran for re-election to a third term in office, but lost renomination to state representative Ed Gainey.^[1] Four Democrats and no Republicans filed to appear on their respective primary

Retrieved Aug. 29, 2021

NLP cannot Answer our Questions



Retrieved Aug. 29, 2021

NLP cannot Translate Text

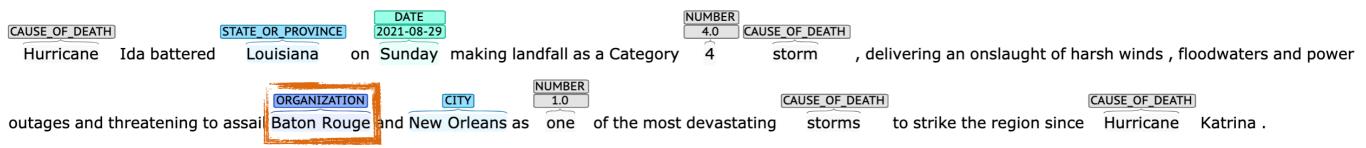
"၃၇၊ ၃၈ မႏၲေလးၿမိဳ႕ရဲ႕ ၈၄ မိန္းလမ္းမႀကီးေပါ့၊ စုတုန္းပဲ ရွိေသးတယ္ေပ့ါေနာ္ ထြက္ဖို႔အတြက္၊ အဲ့ဒါကို သူတို႔ေတြ ဘယ္ ကေန ႀကိဳတင္ သတင္းရလာတယ္ မသိဘူး၊ ခ်က္ခ်င္း ေရာက္ခ်လာၿ ပီးေတာ့ အၾကမ္းဖက္ ဝင္ေရာက္ၿဖိဳခြင္းတာေပါ့။ အတိအက်ေ တာ့ ကြၽန္ေတာ္တို႔လဲ မသိရေသးဘူး။ ၄ ေယာက္ပါသြားတယ္ လို႔လဲ ေျပာတယ္။ ၆ ေယာက္ပါသြားတယ္လို႔လဲေျပာတယ္။ ဘ ယ္ေလာက္ပါသြားလဲဆိုတာ ခုအခ်ိန္ထိ အတိအက် မသိရေသးဘူး။ တ ခ်ဳိ႕ေတြဆို ေရာက္ေတာင္ မေရာက္ၾကေသးဘူး။ စစ္ေကာင္စီဖက္က အၾကမ္းဖက္ ၿဖိဳခြင္းလိုက္ေတာ့ လက္ရွိေတာ့ အမွတ္ ၆ ထဲမွာ ဖ မ္းခံထားရတယ္လို႔ အဲ့ေလာက္ပဲ သိရေသးတယ္ဗ်။"

"37," he said. 38. 84 Main Road of Mandalay. I'm still collecting. I don't know where they got the information in advance. It arrived immediately and was violently suppressed. We do not know exactly. He said four people were involved. He also said that six people were involved. It is unknown at this time what he will do after leaving the post. Some have not even arrived. He is currently being held in No. 6 after a violent crackdown by the military junta.

Front page news from Voice of America Burmese, translated by Google Jun 25., 2021

NLP Fails at Even Basic Tasks

First sentence of first article in NY Times Aug 29., 2021, recognized by Stanford CoreNLP



- Misclassify LOCATION as ORGANIZATION

recognized by spaCy



In this Class, we Ask:

- Why do current state-of-the-art NLP systems work uncannily well sometimes?
- Why do current state-of-the-art NLP systems still fail?
- How can we
 - create systems for various tasks,
 - identify their strengths and weaknesses,
 - make appropriate improvements,
 - and achieve whatever we want to do with NLP?

Why NLP is Hard?

- Ambiguity
- Scale
- Sparsity
- Variation
- Expressivity
- Unmodeled variables
- Unknown representations R

Ambiguity

- Ambiguity at multiple levels:
 - Words with multiple meanings: bank (finance or river?)
 - Domain-specific meanings: latex
 - Part-of-speech: chair (noun or verb?)
 - Multiple meanings: I made her duck. → I cooked waterfowl for her

 - I cooked waterfowl belonging to her
 - I created the (plaster?) duck she owns
 - I magically turned her into a duck





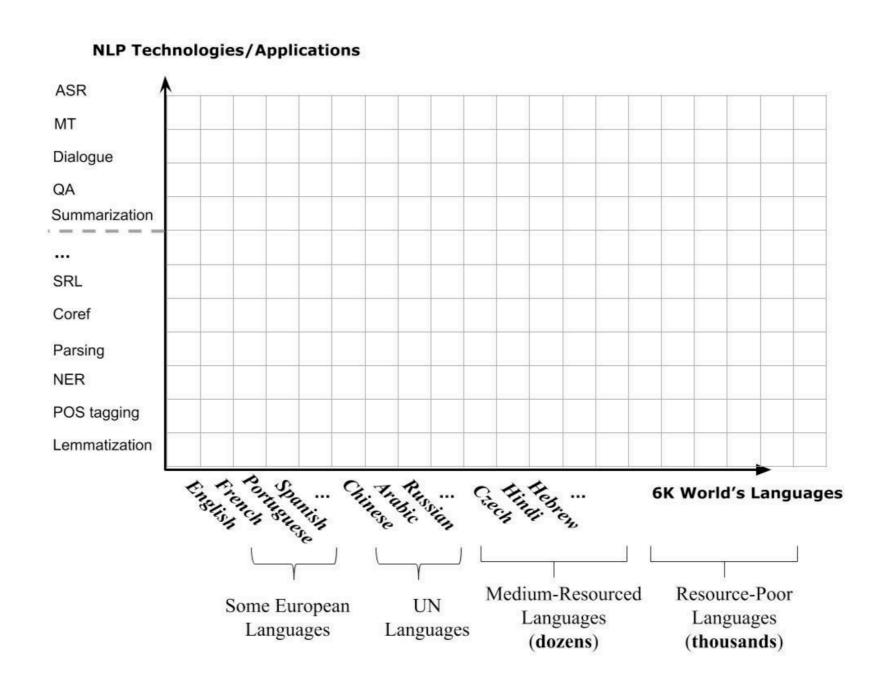


More Challenges of "Words"

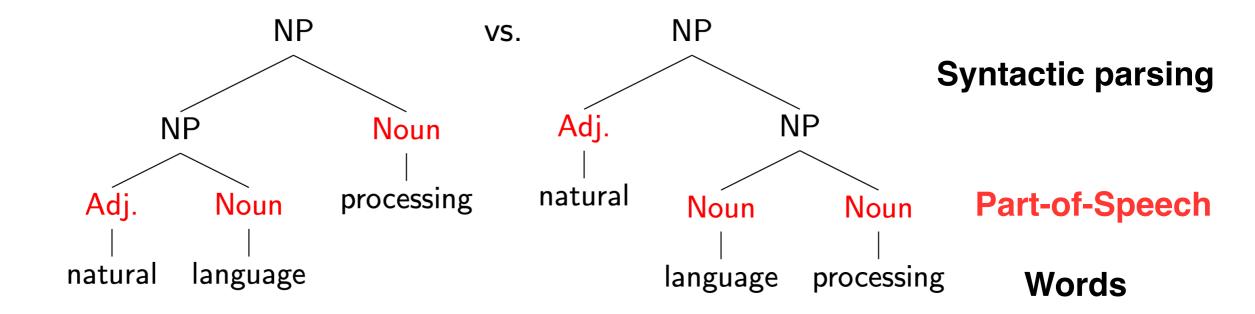
- Segmenting text into words (e.g., Thai example)
- Morphological variation (e.g., Turkish example)
- Multiword expressions: take out, make up
- New words (e.g., covid) and changing meanings (e.g., Bachelor: a young knight → an academic degree)

Ambiguity + Scale

Scale up to different languages & tasks.

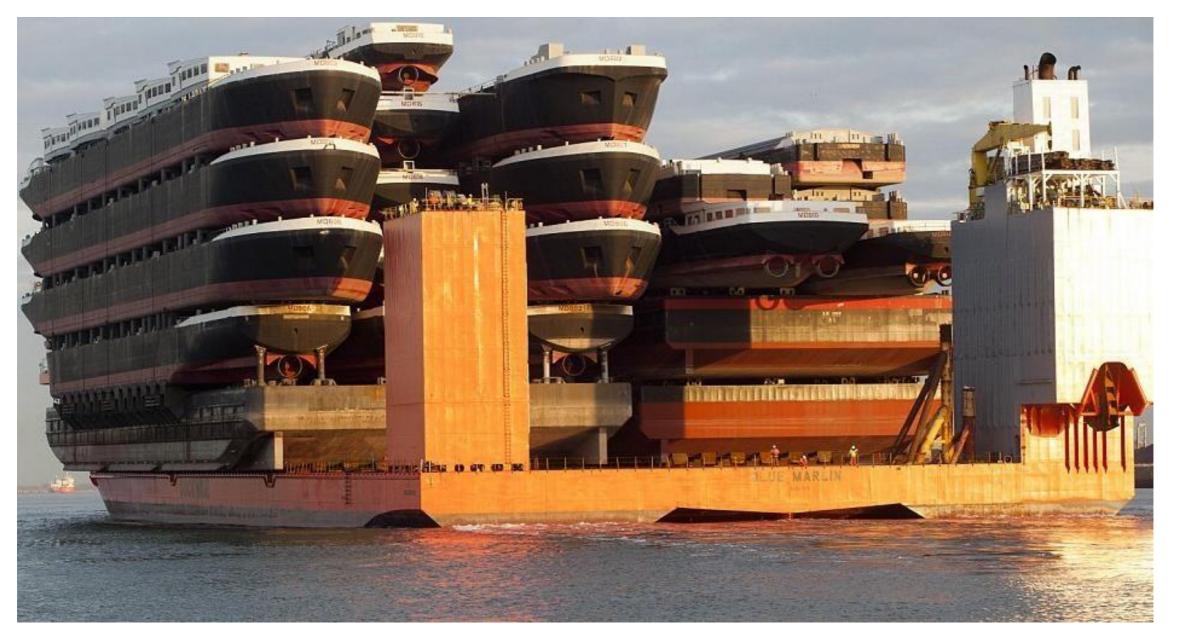


Syntax Ambiguity



Morphology + Syntax

A ship-shipping ship, shipping shipping-ships



Syntax + Semantic

We saw the woman with the telescope wrapped in paper.

- Who has the telescope?
- Who or what is wrapped in paper?
- An event of perception, or an assault?

Semantic Ambiguity

 Every fifteen minutes a woman in this country gives birth.

Semantic Ambiguity

 Every fifteen minutes a woman in this country gives birth. Our job is to find this woman, and stop her!

- Groucho Marx



Dealing with Ambiguity

- How can we model ambiguity and choose the correct analysis in context?
 - Non-probabilistic methods (Finite-state machines for morphology, CKY parsers for syntax) return all possible analyses.
 - Probabilistic models (HMMs for POS tagging, PCFGs for syntax) and algorithms (Viterbi, probabilistic CKY) return the best possible analysis

 But the "best" analysis is only good if our probabilities are accurate. Where do they come from?

Corpora

A corpus is a collection of text

- Often annotated in some way
- Sometimes just lots of text

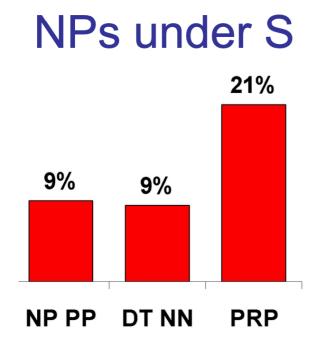
Examples

- Penn Treebank: 1M words of parsed WSJ
- Canadian Hansards: 10M+ words of aligned French/English sentences
- Web: billions of words
- Amazon reviews



Corpus-based Methods

- Give us statistical information by counting
 - Example: Syntax parsing



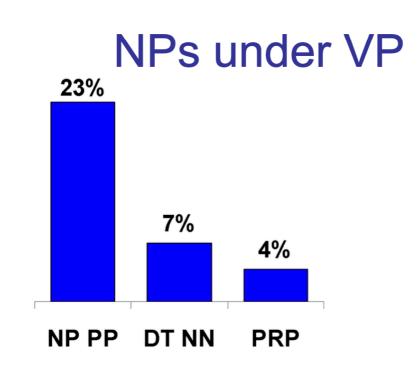


TABLE 1.				
label	long name	example		
NN	singular noun	pyramid		
NNS	plural noun	lectures		
NNP	proper noun	Khufu		
VBD	past tense verb	claimed		
VBZ	3rd person singular present tense verb	is		
VBP	non-3rd person sin- gular present tense verb	have		
VBN	past participle	found		
PRP	pronoun	they		
PRP\$	possessive pronoun	their		
JJ	adjective	public		
IN	preposition	in		
	complementizer	that		
DT	determiner	the		

Statistical NLP

- Like most other parts of AI, NLP is dominated by statistical methods
 - Typically more robust than earlier rule-based methods
 - Relevant statistics/probabilities are learned from data
 - Normally requires lots of data about any particular phenomenon

•

Statistical NLP

- Sparse data due to Zipf's Law
 - To illustrate, let's look at the frequencies of different words in a large text corpus
 - Assume "word" is a string of letters separated by spaces

Statistical NLP

 Most frequent words in the English Europarl corpus (out of 24m word tokens)

any word		nouns		
Frequency	Token		Frequency	Token
1,698,599	the		124,598	European
849,256	of		104,325	Mr
793,731	to		92,195	Commission
640,257	and		66,781	President
508,560	in		62,867	Parliament
407,638	that		57,804	Union
400,467	is		53,683	report
394,778	\mathbf{a}		53,547	Council
263,040	I		45,842	States

Word Counts: Raw Words

But also, out of 93,638 distinct words (word types), 36,231 occur only once.

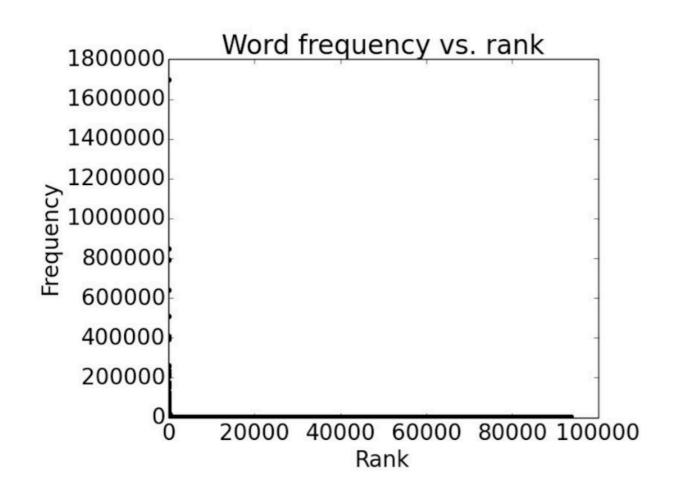
Examples:

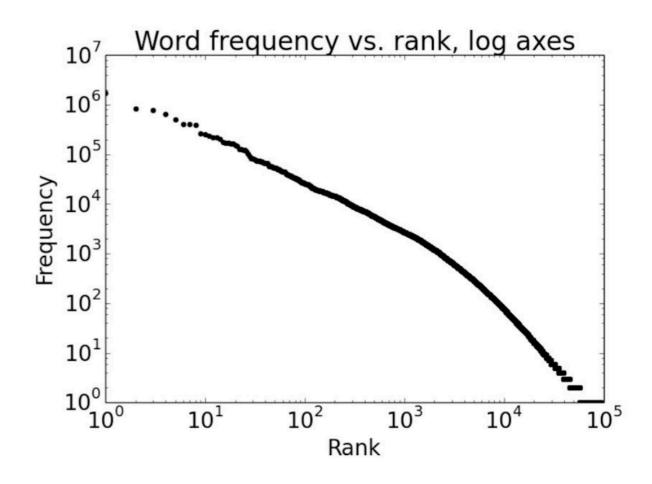
- cornflakes, mathematicians, fuzziness, jumbling
- pseudo-rapporteur, lobby-ridden, perfunctorily,
- Lycketoft, UNCITRAL, H-0695
- policyfor, Commissioneris, 145.95, 27a

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Plotting Word Frequencies

 Order words by frequency. What is the frequency of n_h ranked word?

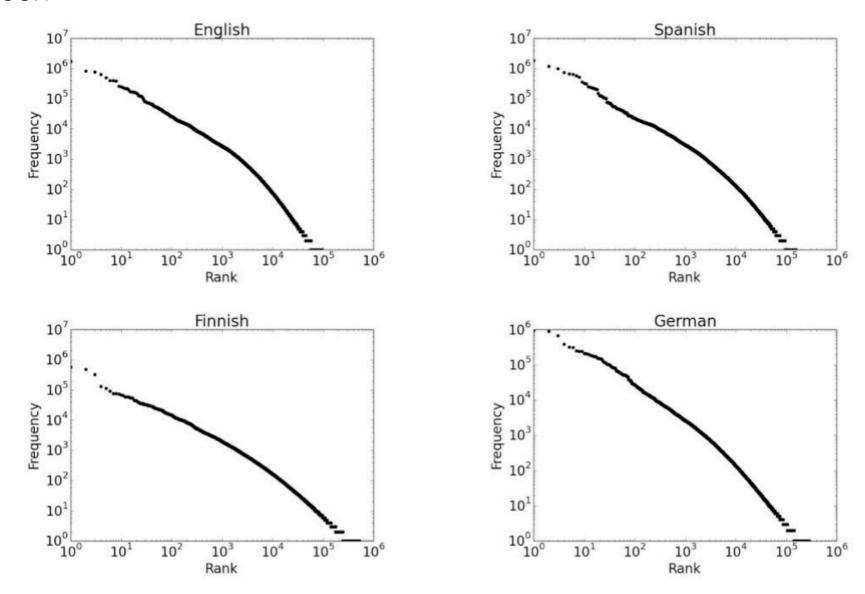




Zipf's Law

Implications:

- Regardless of how large our corpus is, there will be a lot of infrequent (and zerofrequency!) words
- We need to find clever ways to estimate probabilities for things we have rarely or never seen

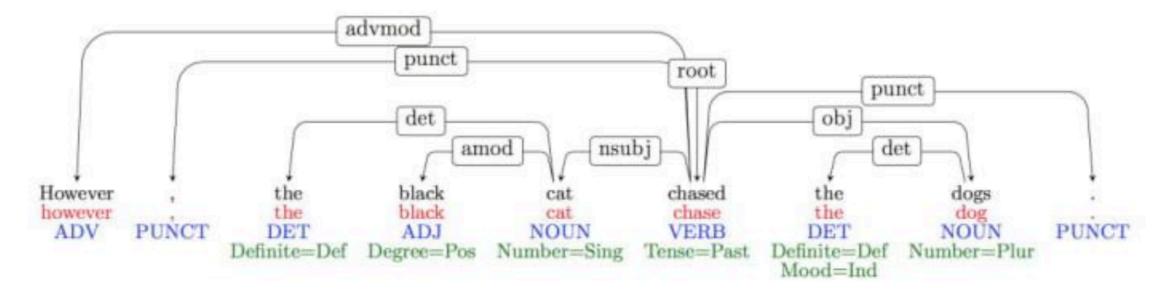


Why NLP is Hard?

- Ambiguity
- Scale
- Sparsity
- Variation
- Expressivity
- Unmodeled variables
- Unknown representations R

Variation

 Suppose we train a part of speech tagger or a parser on the Wall Street Journal

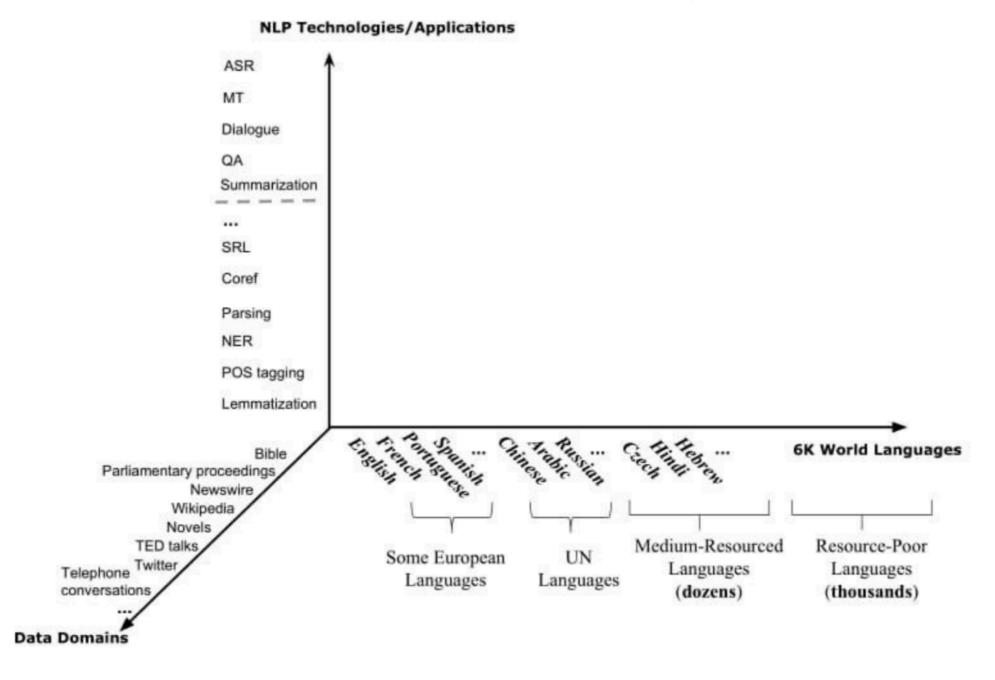


 What will happen if we try to use this tagger/parser for social media?

@_rkpntrnte hindi ko alam babe eh, absent ako kanina I'm sick rn hahaha 😌 🙌

Variation

- Training data comes from diverse domains
- Potential distributional shift between train/test data



Why NLP is Hard?

- Ambiguity
- Scale
- Sparsity
- Variation
- Expressivity
- Unmodeled variables
- Unknown representations ${\cal R}$

Expressivity

- Not only can one form have different meanings (ambiguity) but the same meaning can be expressed with different forms:
 - She gave the book to Tom vs. She gave Tom the book
 - Some kids popped by vs. A few children visited
 - Is that window still open? vs. Please close the window

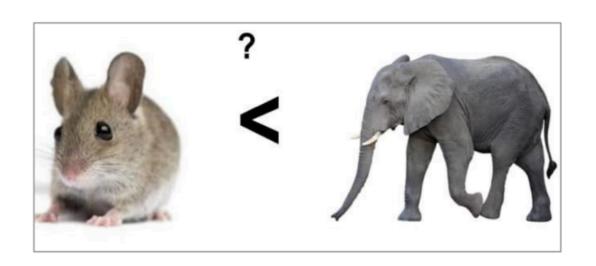
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Unmodeled Variables

- World knowledge
 - I dropped the glass on the floor and it broke
 - I dropped the hammer on the glass and it broke



"Drink this milk"



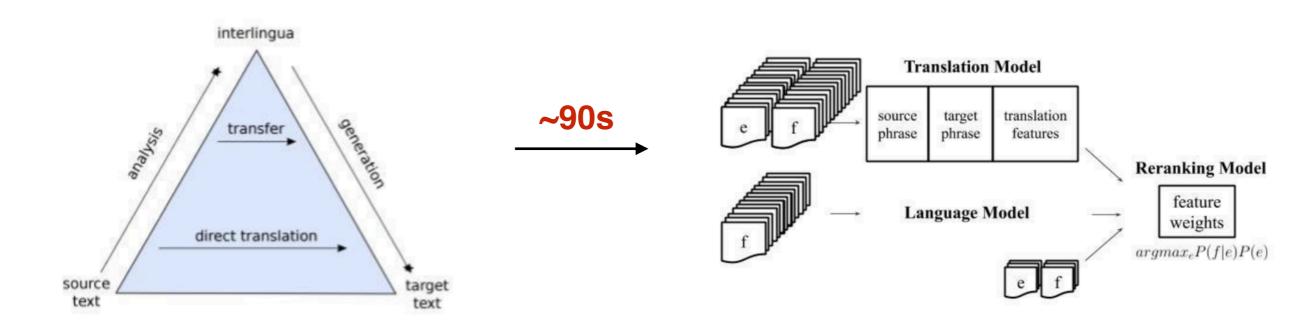
Unmodeled Meaning Representation

- Very difficult to capture what is R, since we don't even know how to represent the knowledge a human has/needs:
 - What is the "meaning" of a word or sentence?
 - How to model context?
 - Other general knowledge?

Symbolic and Probabilistic NLP

Logic-based/Rule-based NLP

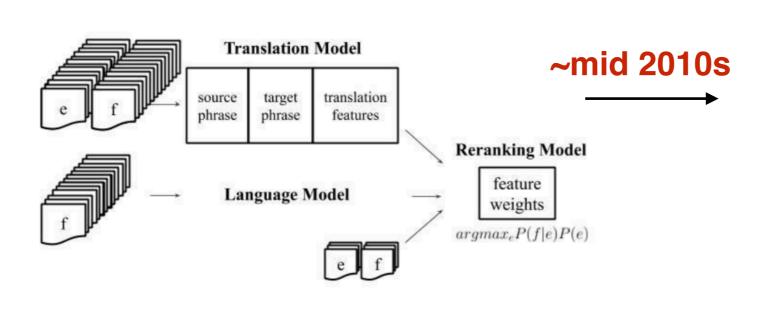
Statistical NLP

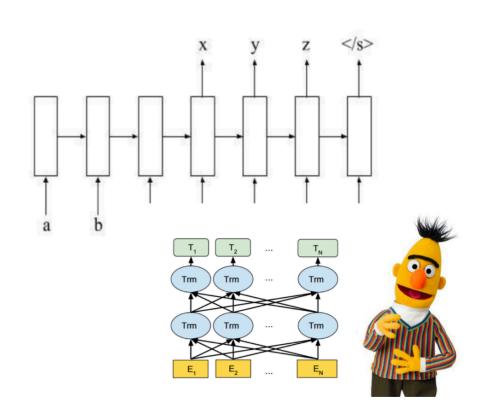


Probabilistic and Connectionist NLP

Engineered Features/Representations

Learned Features/Representations





NLP vs Machine Learning

- To be successful, a machine learner needs bias/ assumptions; for NLP, that might be linguistic theory/representations.
- \mathcal{R} is not directly observable.
- Symbolic, probabilistic, and connectionist ML have all seen NLP as a source of inspiring applications.

NLP vs Linguistics

- NLP must contend with NL data as found in the world
- NLP ≈ computational linguistics
- Linguistics has begun to use tools originating in NLP!

Fields with Connections to NLP

- Machine learning
- Deep Learning
- Linguistics (including psycho-, socio-, descriptive, and theoretical)
- Cognitive science
- Information theory
- Data science
- Political science
- Psychology
- Economics
- Education

NLP System Building Overview

A General Framework for NLP Systems

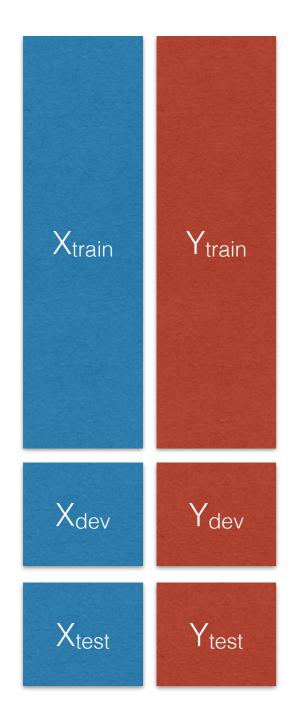
 Formally, create a function to map an input X (language) into an output Y. Examples:

Input X	Output Y	Task
Text	Text in Other Language	Translation
Text	Response	Dialog
Text	Label	Text Classification
Text	Linguistic Structure	Language Analysis

- To create such a system, we can use
 - Manual creation of rules
 - Machine learning from paired data <X, Y>

Train, Development, Test

When creating a system, use three sets of data

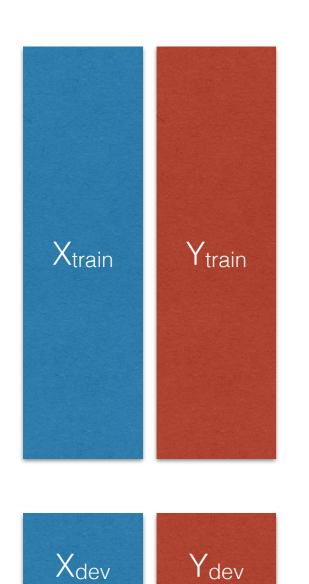


Training Set: Generally larger dataset, used during system design, creation, and learning of parameters.

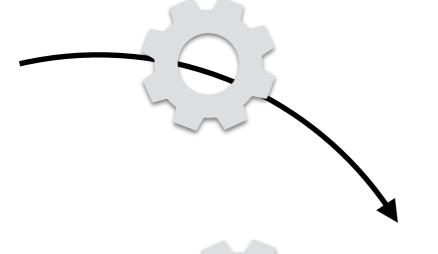
Development ("dev", "validation") Set: Smaller dataset for testing different design decisions ("hyper-parameters").

Test Set: Dataset reflecting the final test scenario, do not use for making design decisions.

Machine Learning







Learned
Feature Extractor *f*Scoring Function *w*



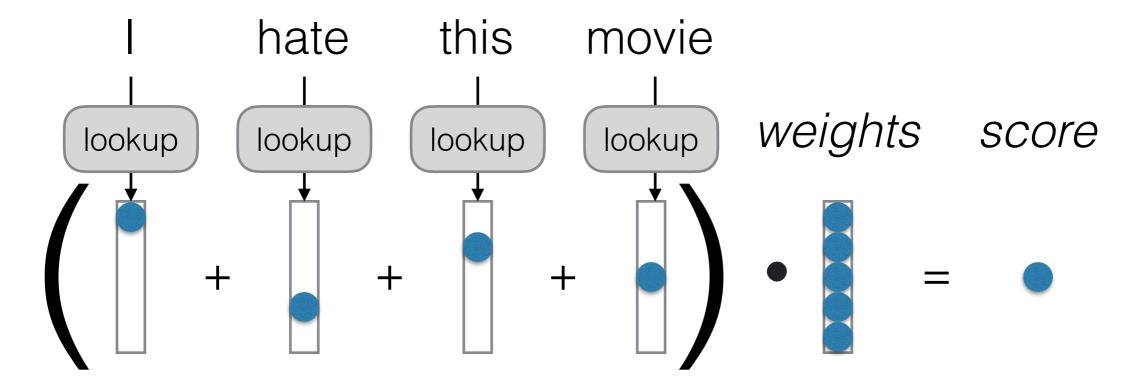
Inference Algorithm





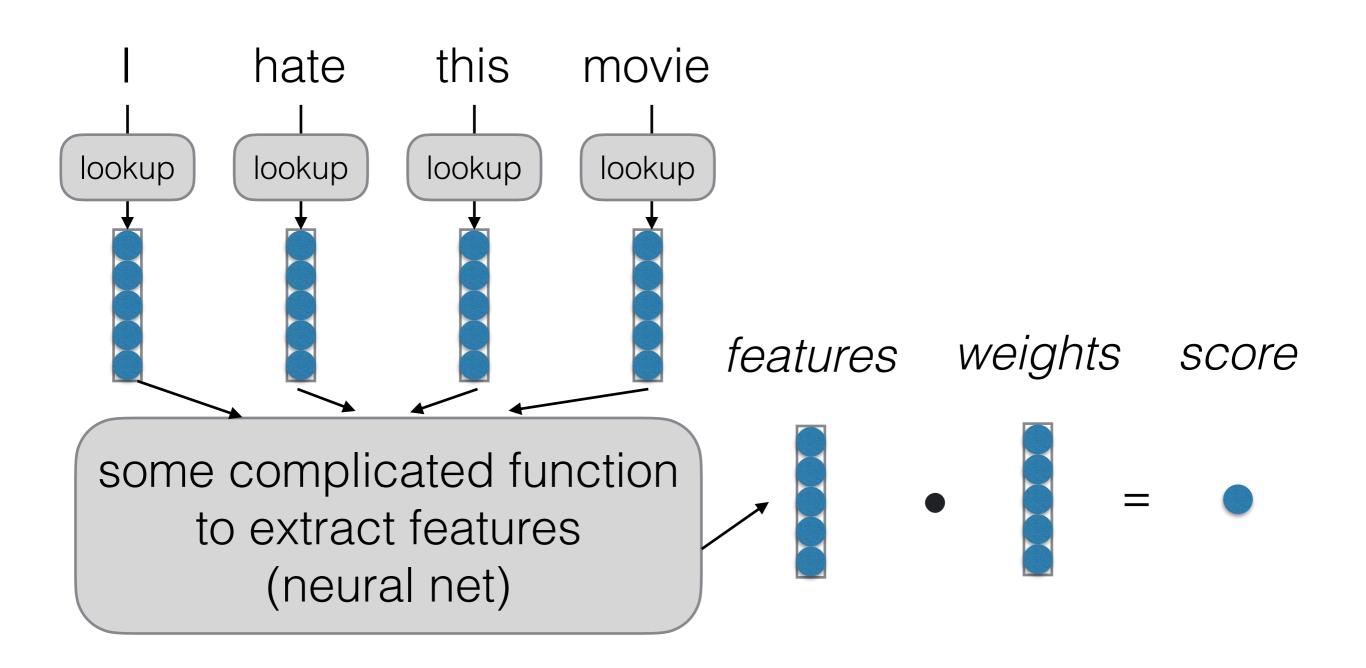
Bag of Words (BOW)

Convert each word into a one-hot vector:



Features *f* are based on word identity, weights *w* learned Which problems mentioned before would this solve?

Neural Network Models



Class Goals

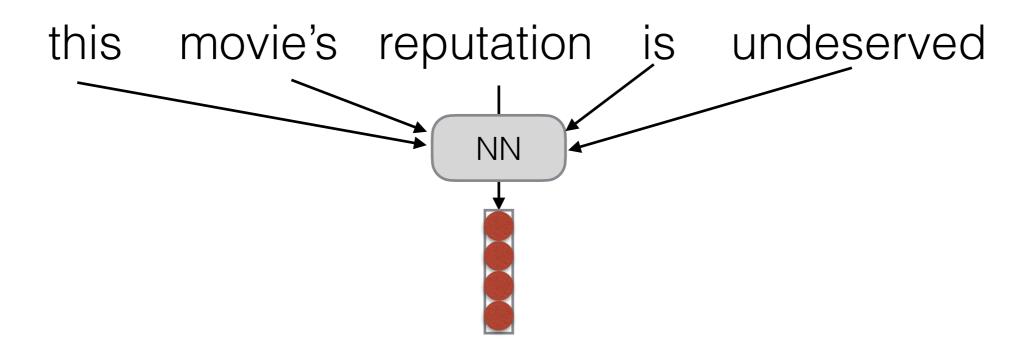
- Learn in detail about building NLP systems from a research perspective
- Learn basic and advanced topics in machine learning and neural network approaches to NLP
- Learn basic linguistic knowledge useful in NLP, and learn methods to analyze linguistic structure
- See several case studies of NLP applications and learn how to identify unique problems for each
- Learn how to debug when and where NLP systems fail, and build improvements based on this

Prior Background

- There is no hard prerequisite to this course.
- But this is a research-oriented course. Here are some recommendations:
 - Take at least one intro-level AI course
 - Basic statistics/probability/linear algebra
 - Python programming, Deep Learning Library (e.g., PyTorch)

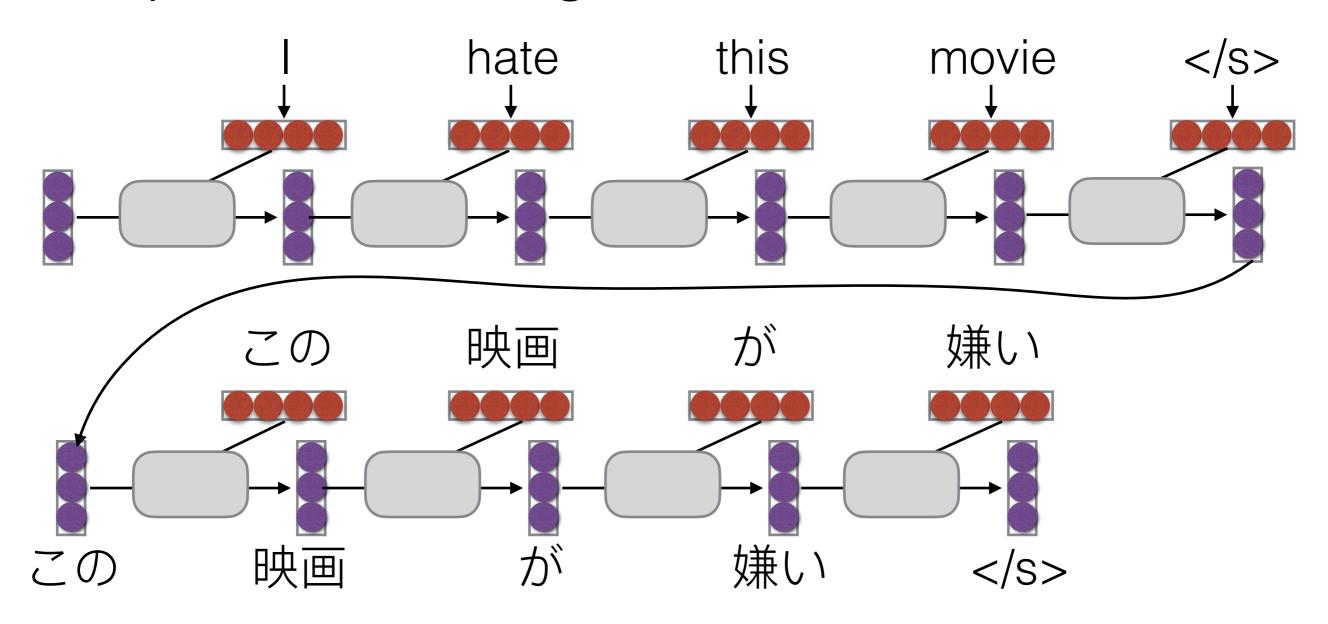
Roadmap Going Forward

Topic 1: NLP Fundamentals



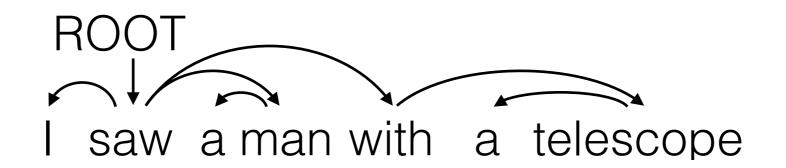
- Text Classification and ML Fundamentals
- Language Modeling and NN Training Tricks
- Word Vectors
- Neural Network Basics and Toolkit Construction

Topic 2: Modeling and Neural Net Basics



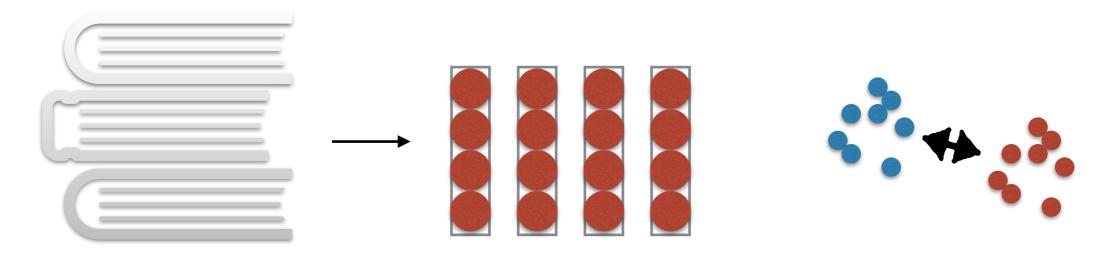
- Recurrent Networks
- Conditioned Generation
- Attention

Topic 3: Natural Language Analysis



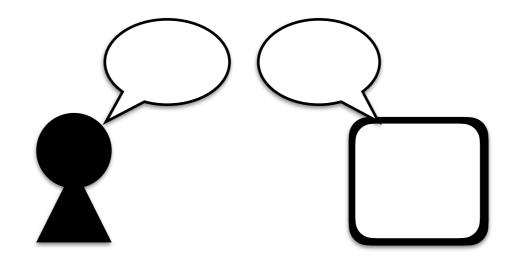
- Word Segmentation and Morphology
- Syntactic Parsing
- Semantic Parsing
- Discourse Structure and Analysis

Topic 4: Representation Learning and Algorithms



- Pre-training and Self-supervised Learning
- Multi-task and Multi-lingual Learning
- Prompting and Few-shot Learning
- Long Sequence Models
- Structured Learning Algorithms
- Latent Variable Models

Topic 5: NLP Applications



- Machine Reading QA
- Dialog
- Computational Social Science, Bias and Fairness
- Information Extraction and Knowledge-based QA
- NLP for Healthcare

Class Format/Structure

Class Delivery Format: In Person

- Keep wearing masks!
- Maintain social distance as much as possible.
- Office hour section remains online on Zoom.
- Contact the instructor if there's any concerns

Class Content Format

- Before class: For some classes, do recommended reading
- During class:
 - Lecture/Discussion: Go through material and discuss
 - Code/Data Walk: The instructor will sometimes walk through some demonstration code, data, or model predictions

Assignments

- Assignment 1 Text Classifier / Questionnaire: Individually implement a text classifier, and indicate your project topic (15%)
- Assignment 2 Text Classifier with Pre-trained LM: Individually implement a text classifier (15%)
- Assignment 3 Project Proposal (SOTA Survey / Reimplementation): Re-implement and reproduce results from a recently published NLP paper, and proposal new ideas (20%)
- Assignment 4 Encoder-decoder Model: Individually implement an encoder-decoder model for text generation. (20%)
- Assignment 5 Final Project: Perform a unique project that either

 (1) improves on state-of-the-art, or (2) applies NLP models to a
 unique task. Have an oral presentation and write a report. (30%)

Instructors

- Instructor:
 - Junjie Hu
- Grader:
 - Huiyu (Harry) Bao
- Piazza: https://piazza.com/wisc/spring2023/cs769
- Canvas: https://canvas.wisc.edu/courses/343092/assignments

Thanks, Any Questions?